



超臨界CO₂を利用した機能性材料創製

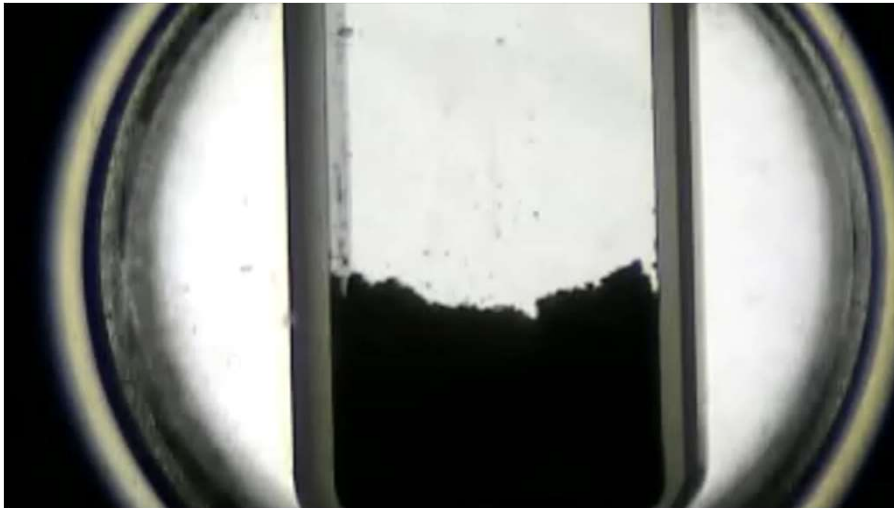
東京工業大学 物質理工学院

下山 裕介

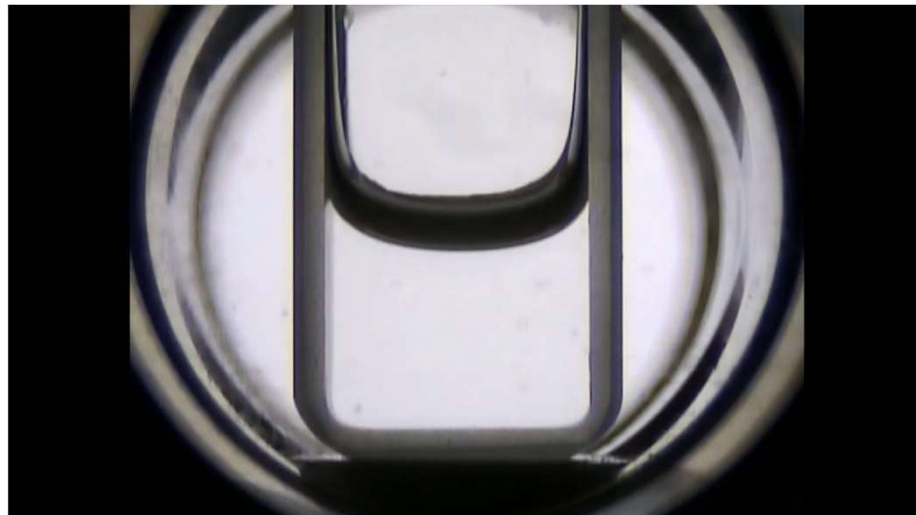


Supercritical CO₂

- Fluid over critical point at 31.1 °C and 7.38 MPa
- Practical application for extraction in food, cosmetic and pharmaceutical industries
- CO₂ as “safe solvent” for human body
- CO₂ with specific properties unlike “common CO₂”



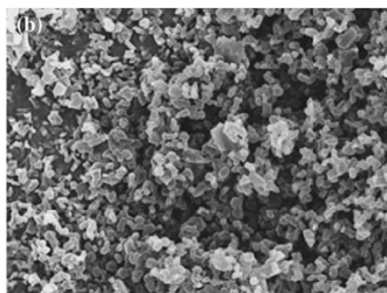
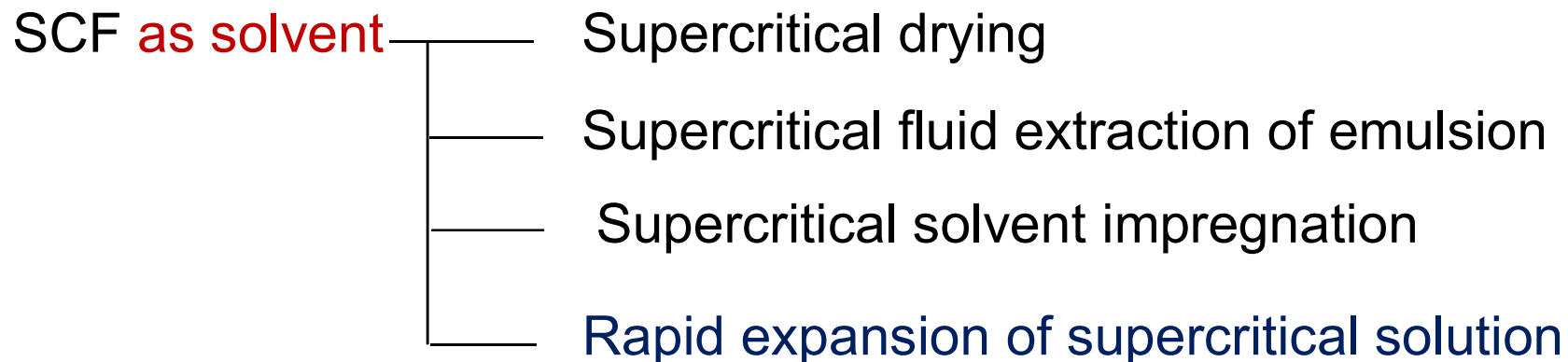
Solid solute dissolution



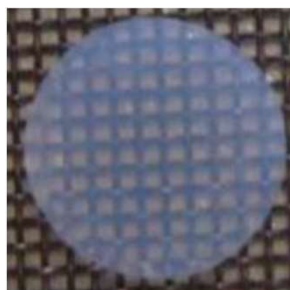
Miscible with liquid solvent



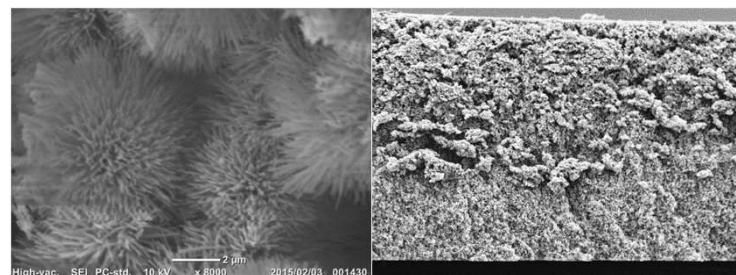
Material fabrication process using supercritical fluid



Nanoparticle



Aerogel



Porous material



[A] 超臨界CO₂の溶解性を利用した材料プロセス

A-1 : Supercritical Extraction of emulsion

A-2 : LipTube for liposome formation

[B] 超臨界CO₂の浸透性を利用した材料プロセス

Pharmaceutical crystal formation

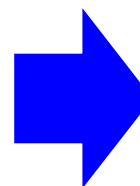
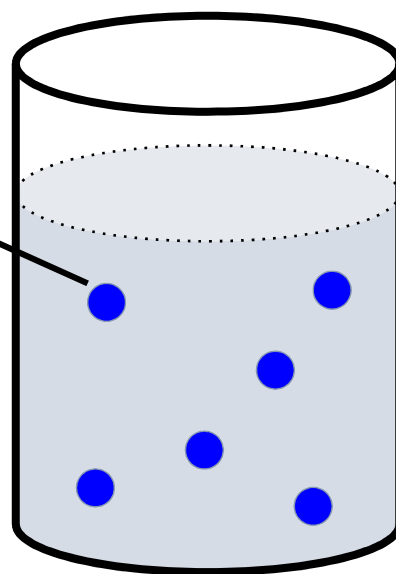
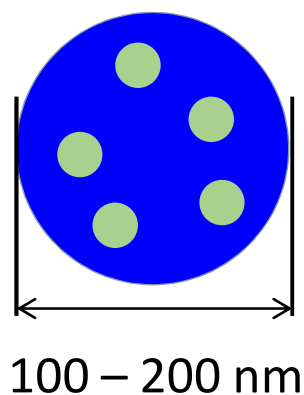


Nanosuspension for formulation

- >> Drug release or cosmetic application
- >> Controlling drug release and dose of drug
- >> Polymer particle size and size distribution control



(Polymer & drug particle)



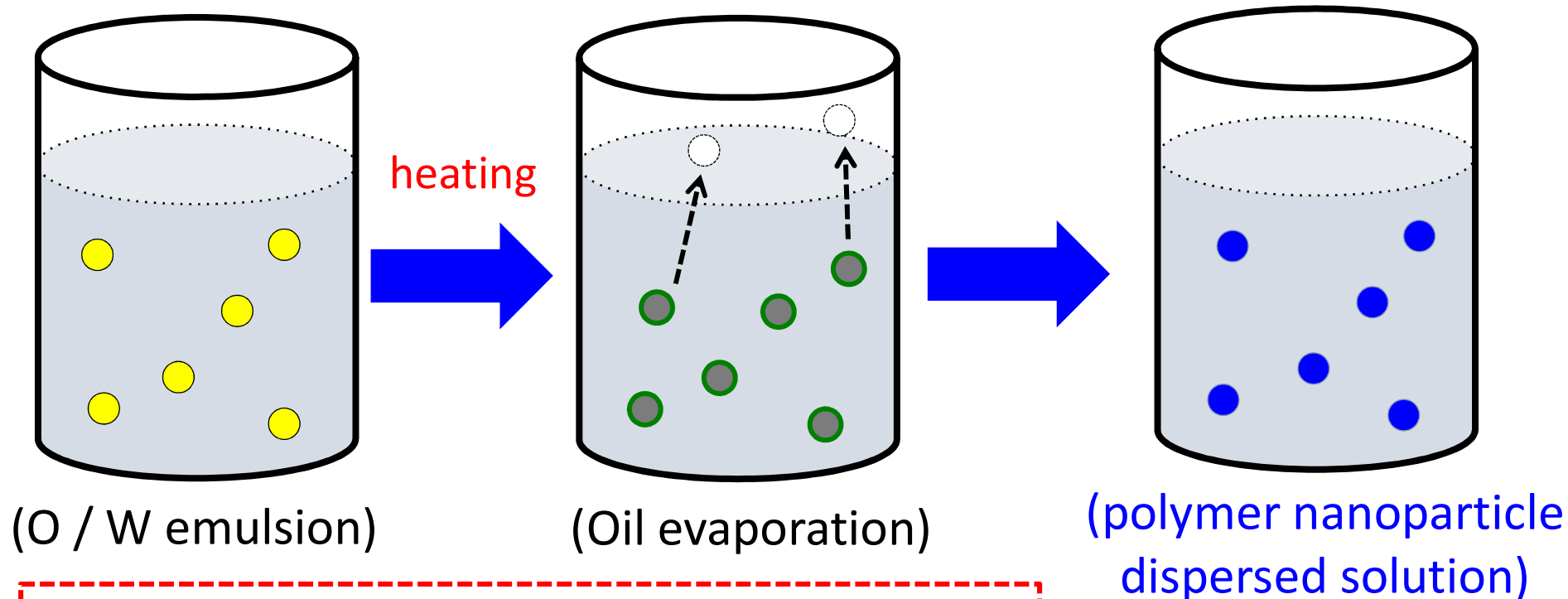
Oral delivery system
Ophthalmic DDS
Transdermal DDS patch
(dispersed in hydrogel)
Cosmetics



Extraction of emulsion technique

Int. J. Pharm., 447 (2013) 214; *Chem. Commun.*, 47 (2011) 10001; *Natur. Nanotechnol.*, 3 (2008) 50

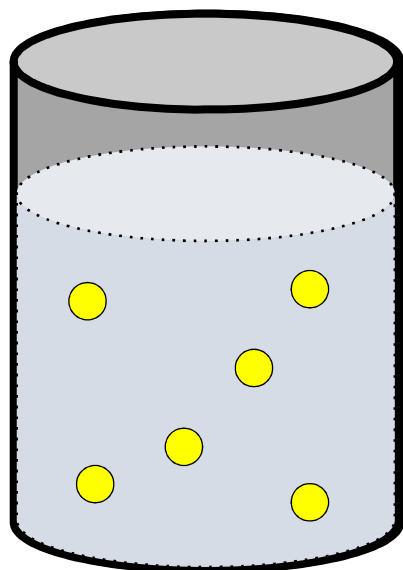
● Oil droplet dissolving polymer



- Slow mass transfer of oil on evaporation
- Particle aggregation after oil evaporation



Supercritical fluid extraction of emulsion (SFEE)



SFEE

Supercritical CO₂

Chattopadhyay et al., J. Pharm. Sci., 95 (2006) 667

- Enhanced extraction of oil phase
- Reduction of polymer particle aggregation
- **Batch type process**



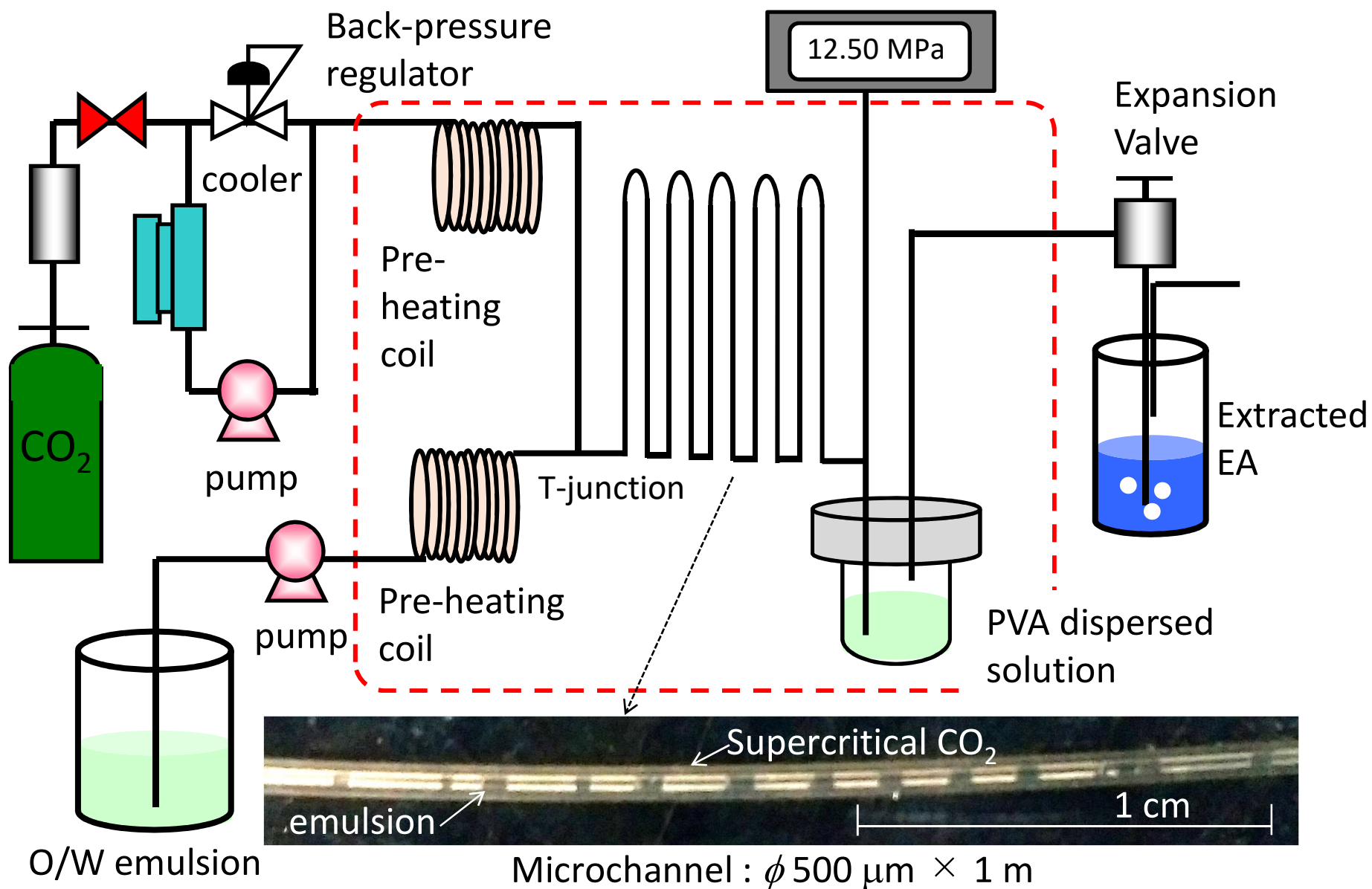
Proposed in this work

Slug flow in microchannel



- High contact probability between SCCO₂ and emulsion
- Continuous process

Y. Murakami, Y. Shimoyama, J. Supercrit. Fluids (2016), (2017)
T. Wijakmatee, Y. Shimoyama, Y. Orita, Ind. Eng. Chem. Res. (2022)





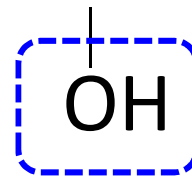
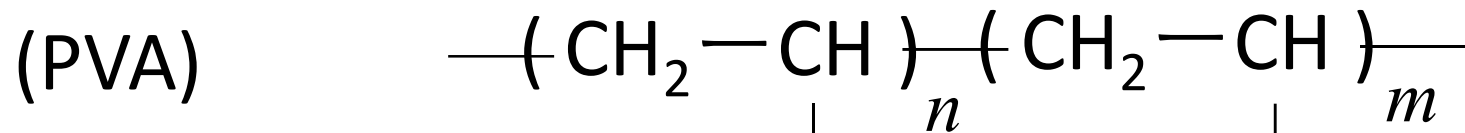
Carbon dioxide : purity over than 99.95 %

Oil phase : Ethyl acetate (EA), purity over than 99.5 %

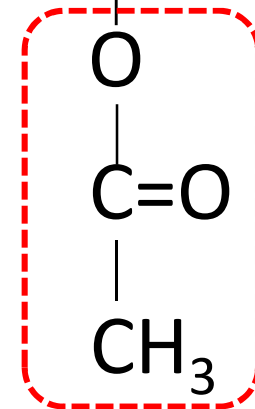
Polymer and surfactant : Poly(vinyl alcohol) (PVA)

(1) Mw : 31000 – 50000, 98-99 % hydrolyzed (Sigma-Aldrich)

(2) Mw : 66000 – 79000, 78-82 % hydrolyzed (Wako Pure Chem.)



hydrophilic

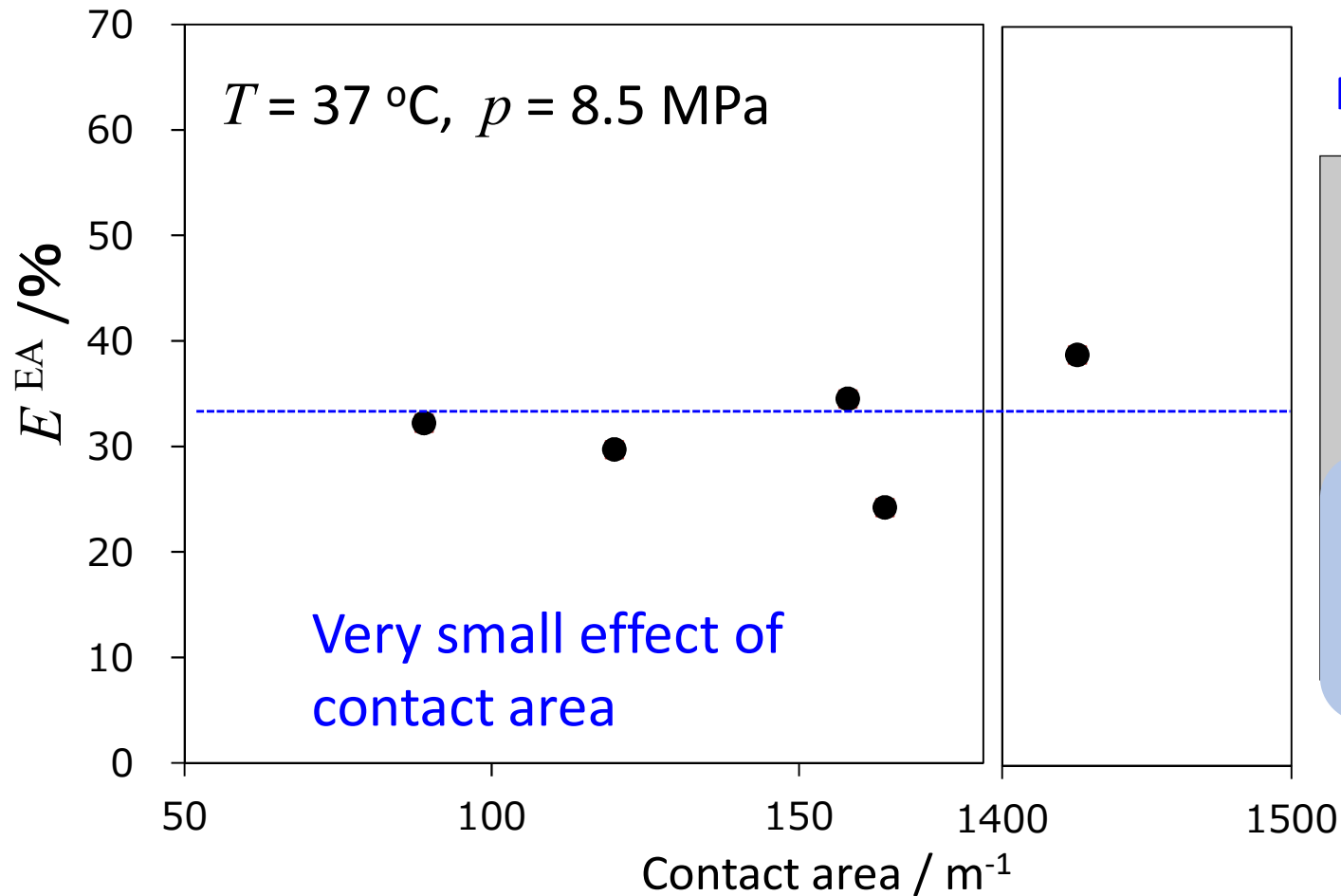


hydrophobic

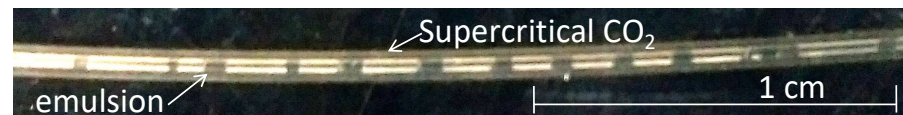
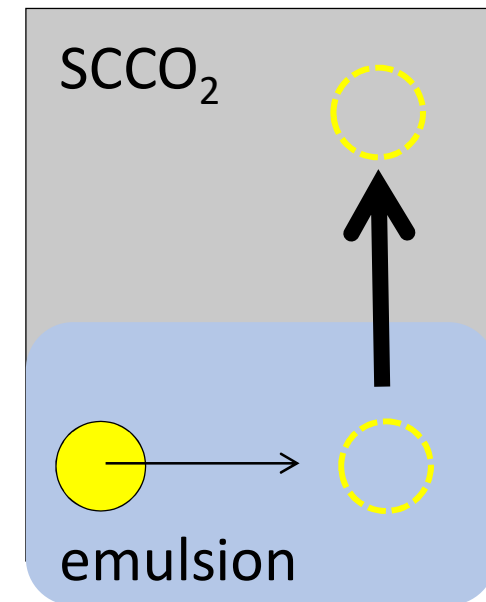
$$\text{hydrolyzed ratio} = \frac{n}{n+m} \times 100$$



Effect of slug contact area on extraction efficiency



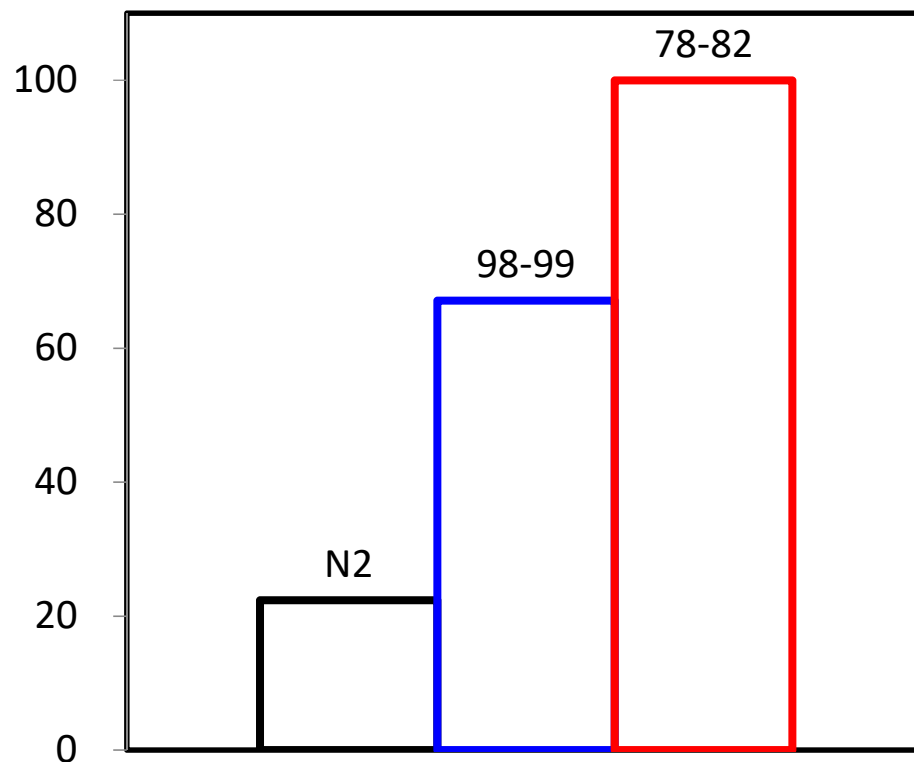
Mass transfer of EA





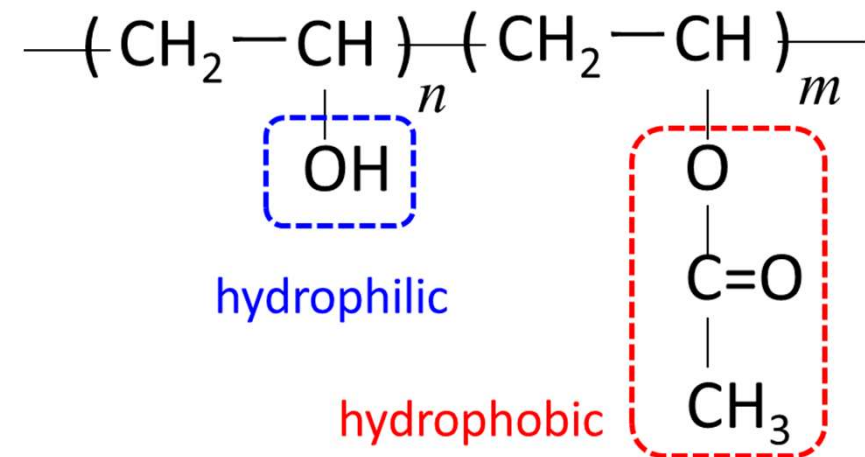
Effect of oil surface hydrophobicity on extraction

$T = 37\text{ }^\circ\text{C}$, $p = 12\text{ MPa}$



(PVA)

$$\text{hydrolyzed ratio} = \frac{n}{n+m} \times 100$$

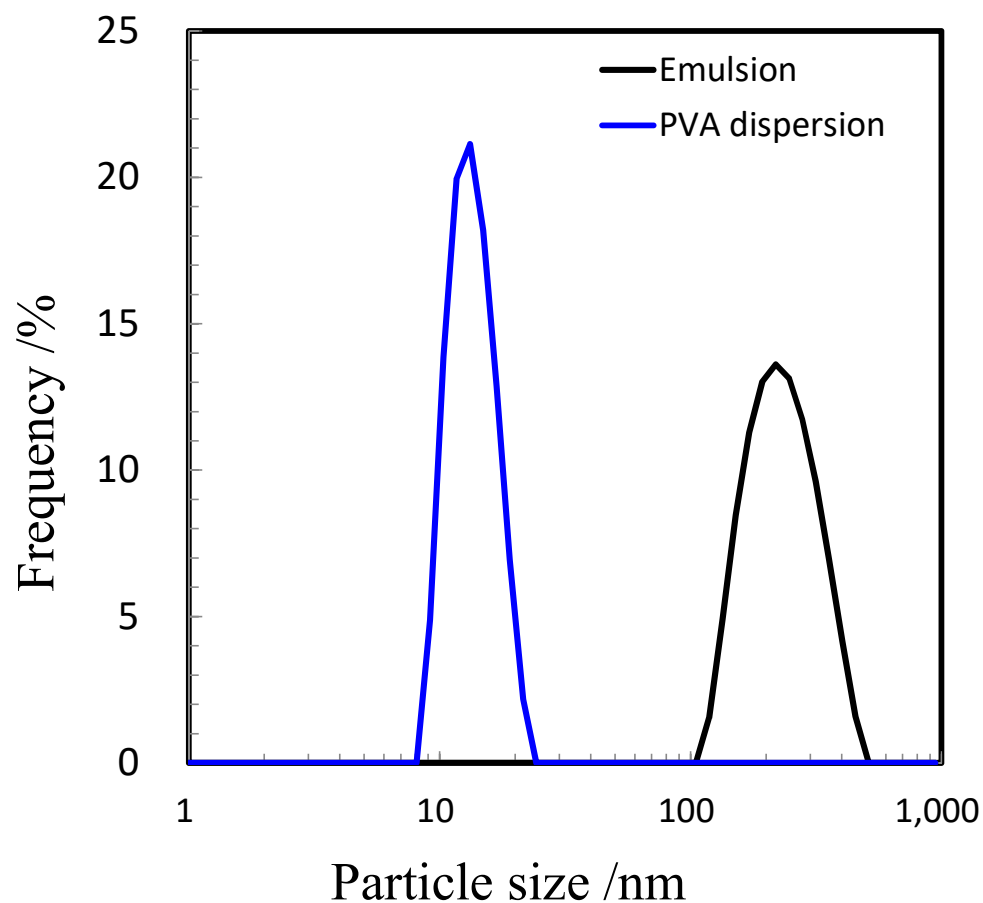


High hydrophobicity results in high extraction rate

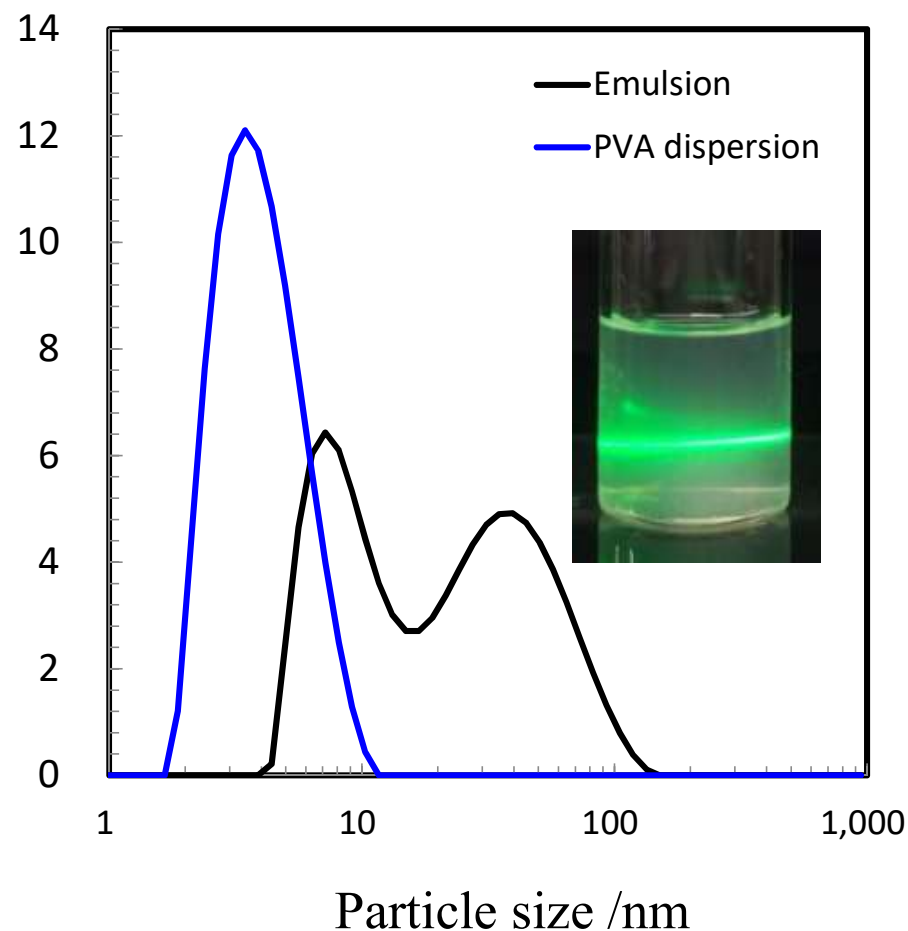


Effect of oil surface hydrophobicity on nanoparticle formation

PVA : 98 – 99 % hydrolyzed

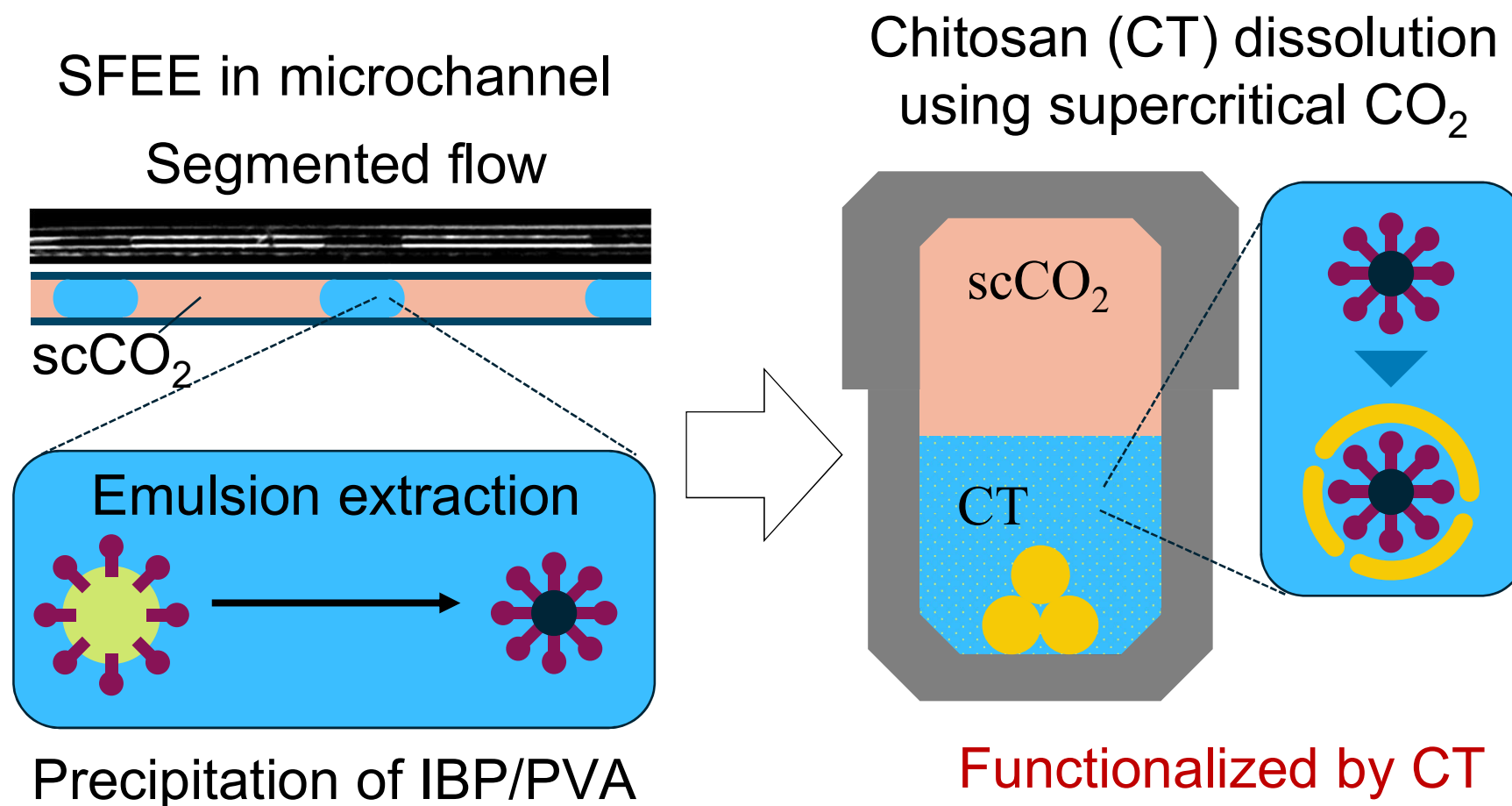


PVA : 78 – 82 % hydrolyzed



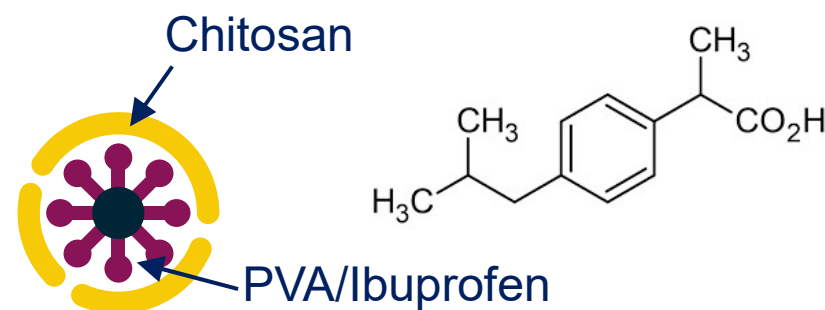
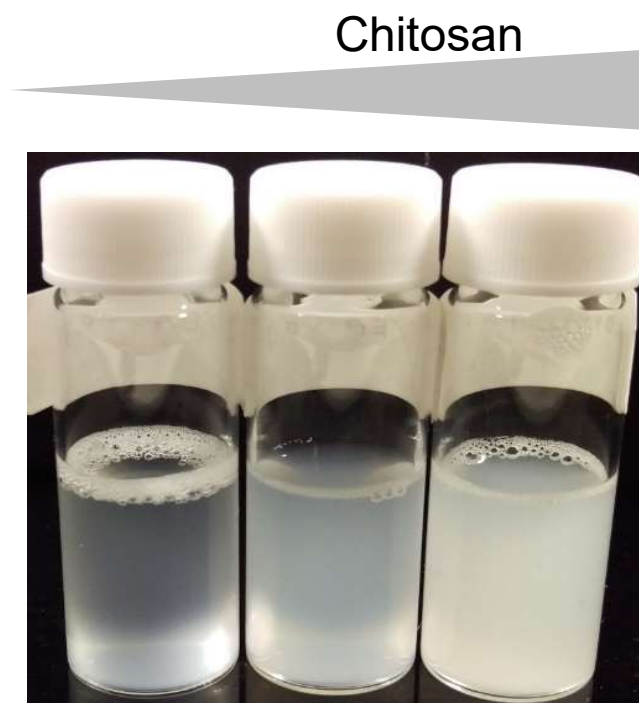
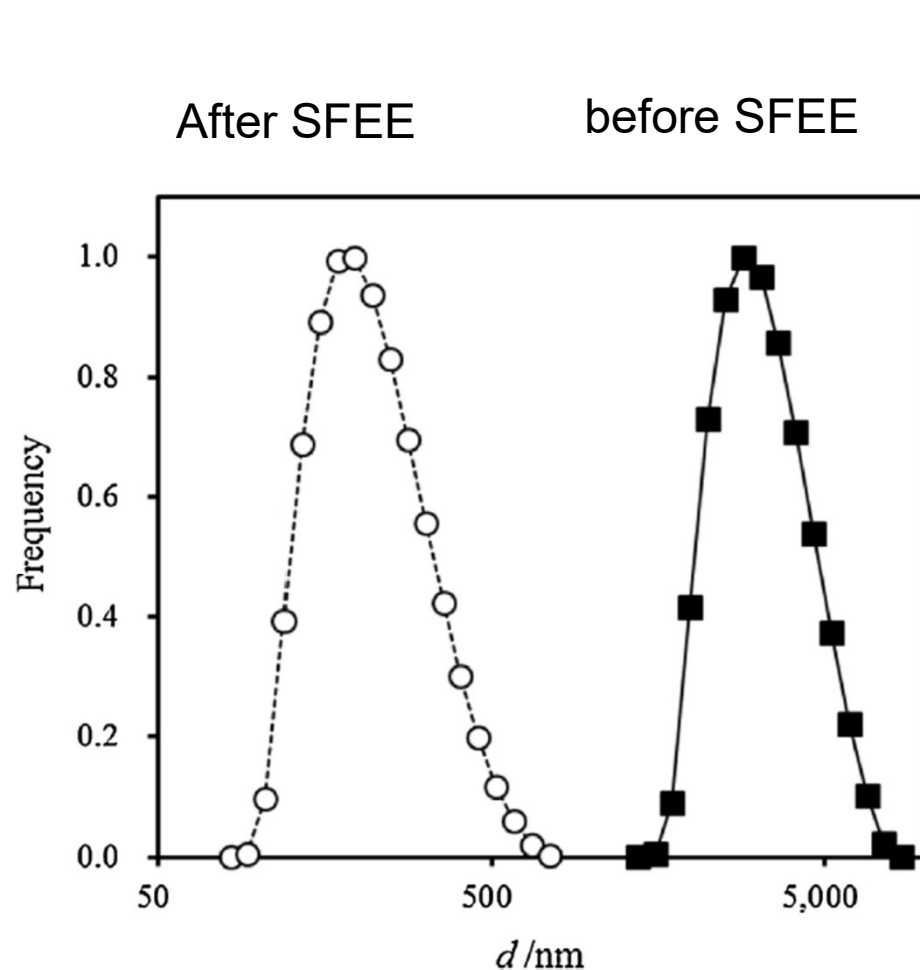


Functionalized PVA nanoparticles with Chitosan





Functionalized PVA nanoparticles with Chitosan





Liposome = water capsule in water

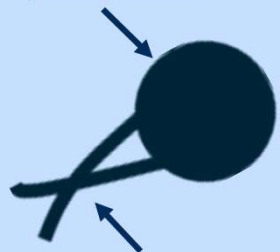
Consists of lipid bilayer

Possesses **isolated** aqueous phase inside

Highly **biocompatible**

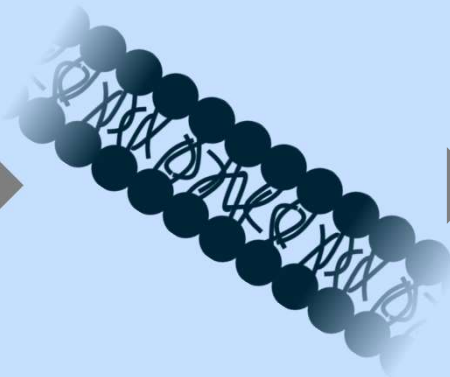
Phospholipid

Hydrophilic

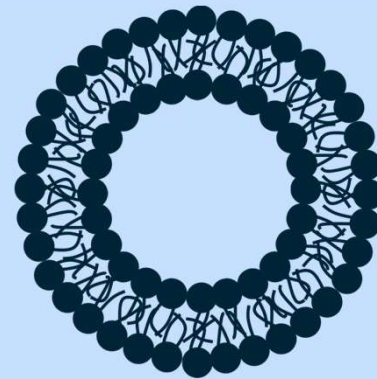


Hydrophobic

Lipid bilayer



Liposome

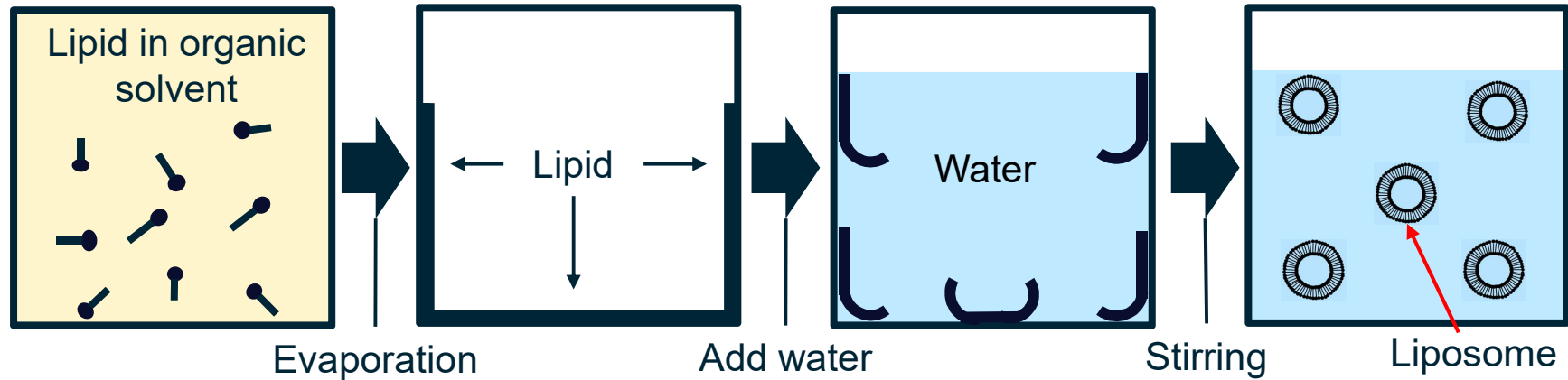


Promising candidate as drug carrier

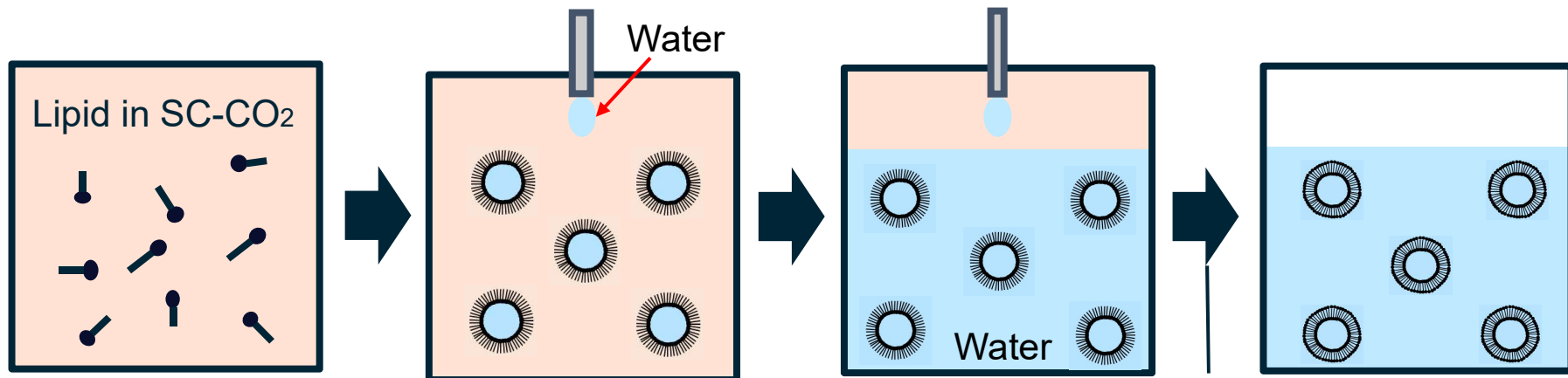
How liposome can be formed ?



Bangham method: Chem. Phys. Lipid, 1 (1967) 225



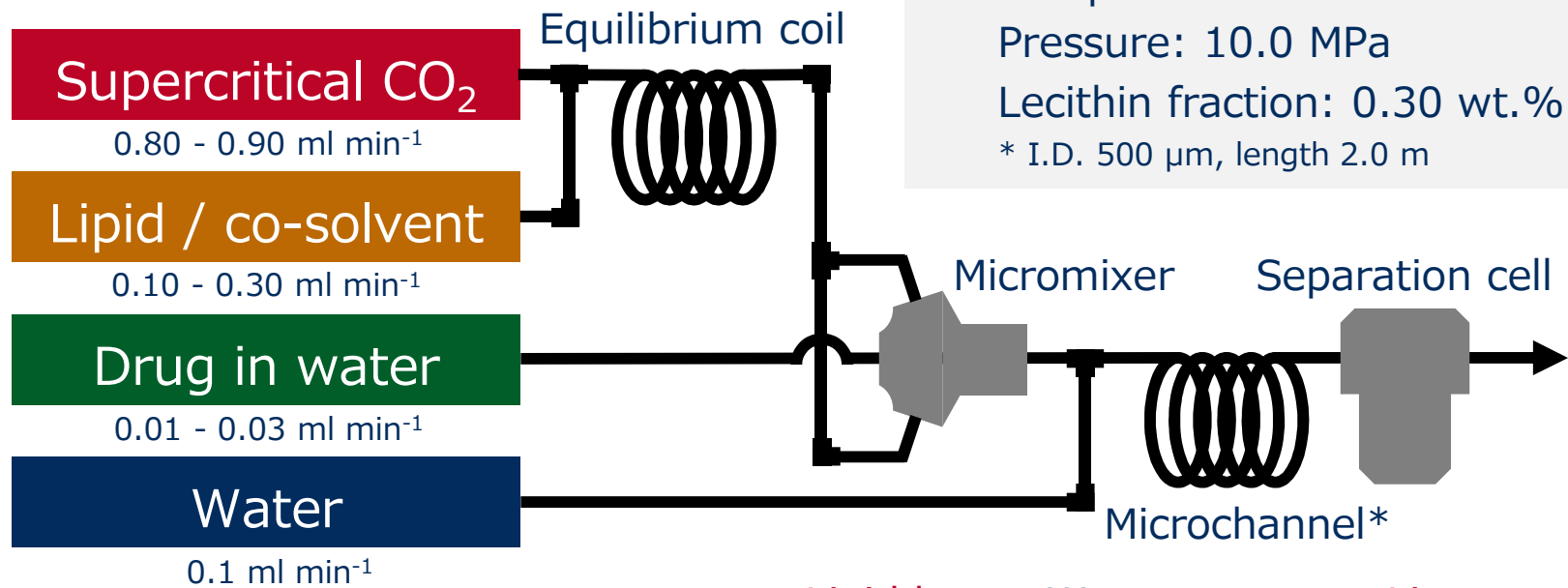
Supercritical reverse-phase inversion method: Langmiur, 22 (2006) 4054



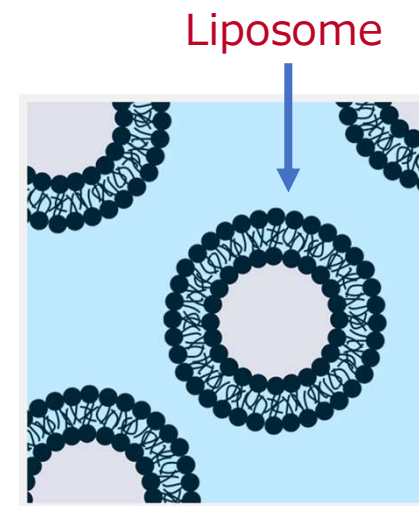
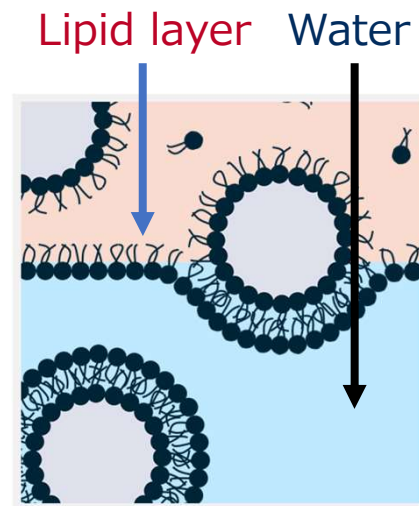
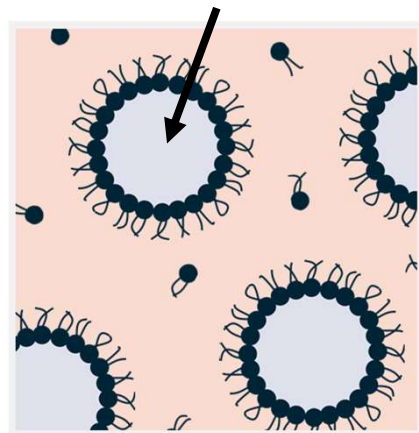
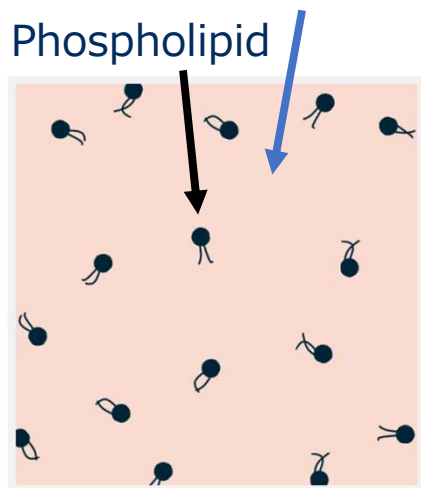
Continuous operation on liposome production using SCCO₂: SuperLip: Chem. Eng. J, 249 (2014) 3824

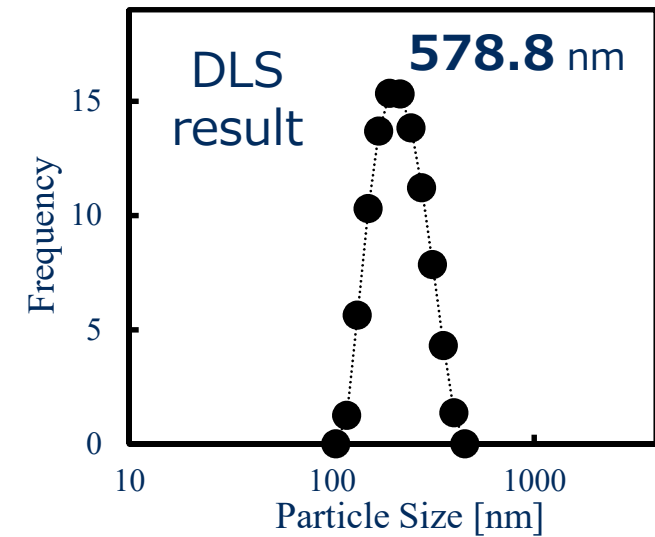
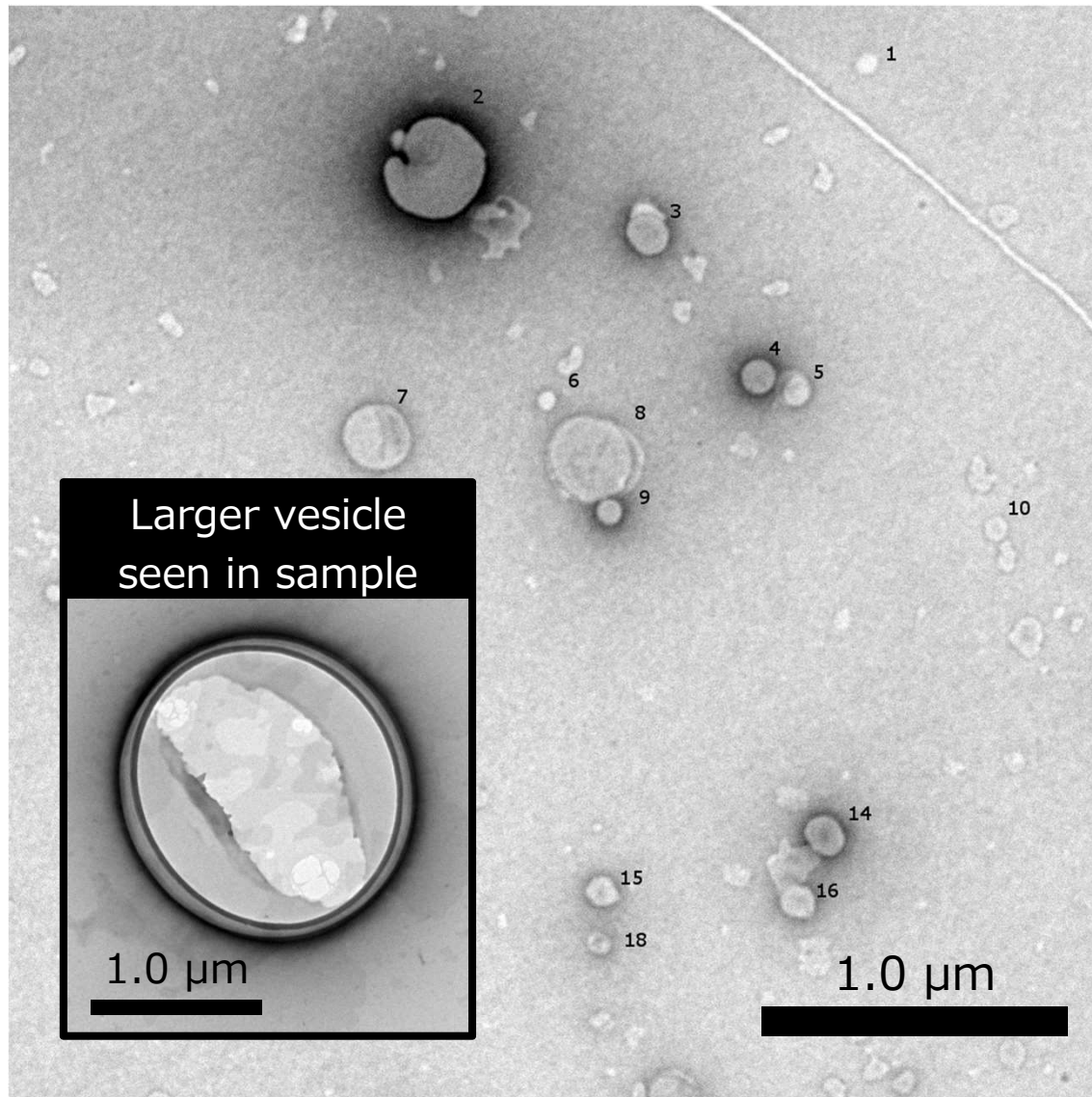


Apparatus



Conditions
Temperature: 313.0 K
Pressure: 10.0 MPa
Lecithin fraction: 0.30 wt.%
* I.D. 500 μm, length 2.0 m

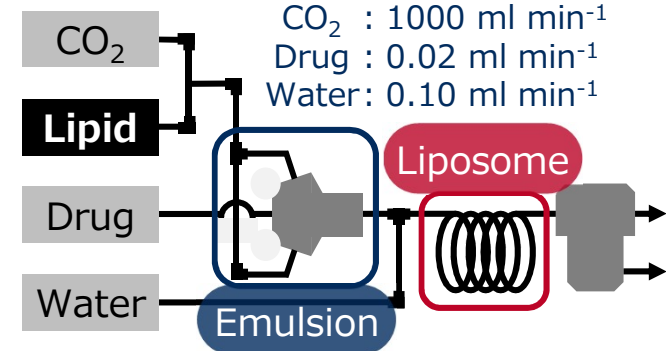


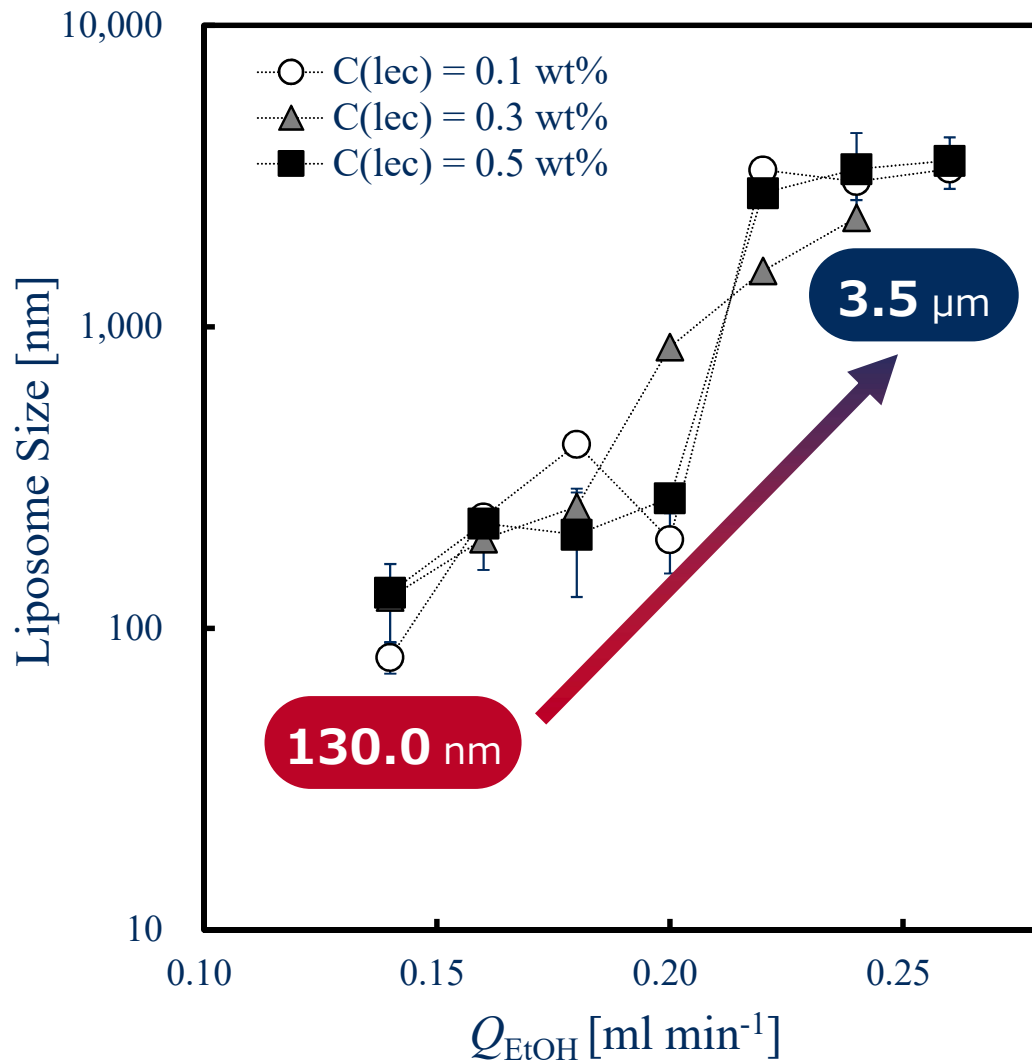


Conditions

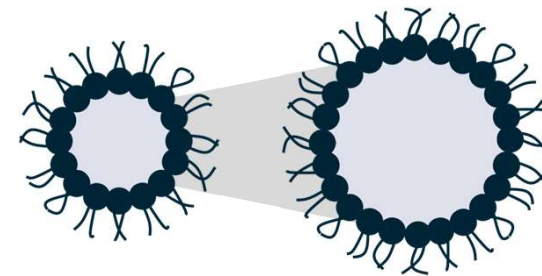
Lipid: 0.5 wt% EtOH solution
0.22 ml min⁻¹

CO₂ : 1000 ml min⁻¹
Drug : 0.02 ml min⁻¹
Water : 0.10 ml min⁻¹



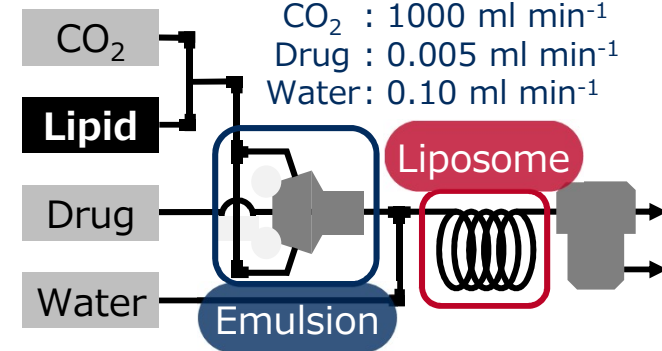


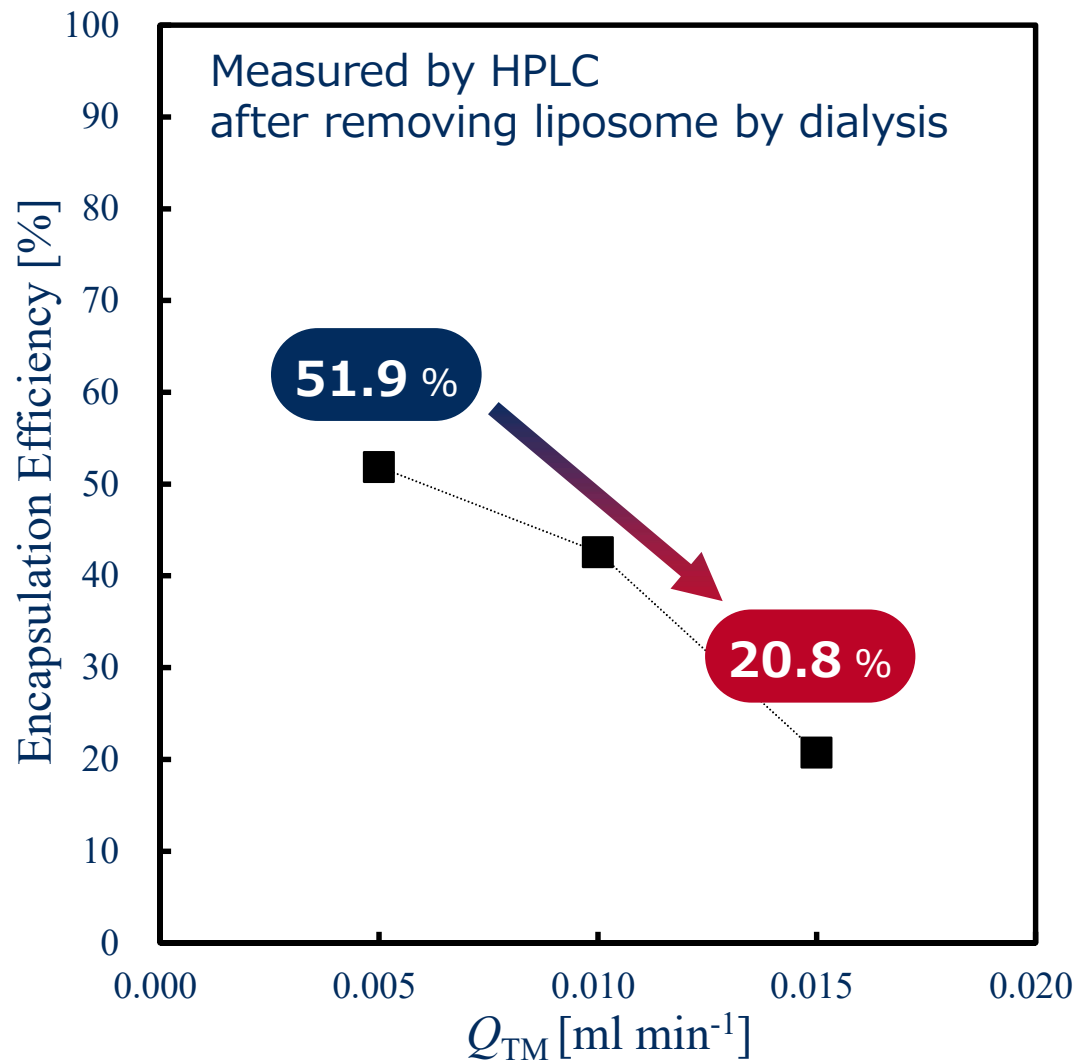
Ethanol Amount
small ↓ large ↑



Conditions

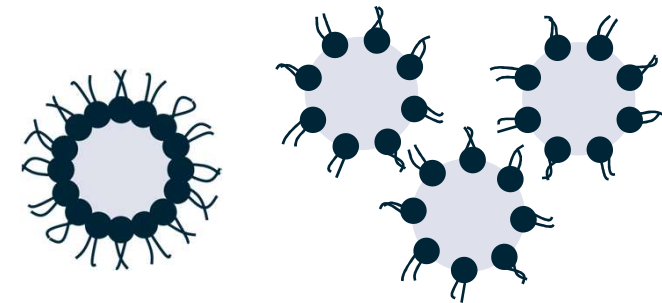
Lipid: EtOH solution
0.14 – 0.26 ml min⁻¹
CO₂ : 1000 ml min⁻¹
Drug : 0.005 ml min⁻¹
Water: 0.10 ml min⁻¹





Drug aq. Amount
small ↓ **large** ↑

Stability of emulsion
high ↑ **low** ↓



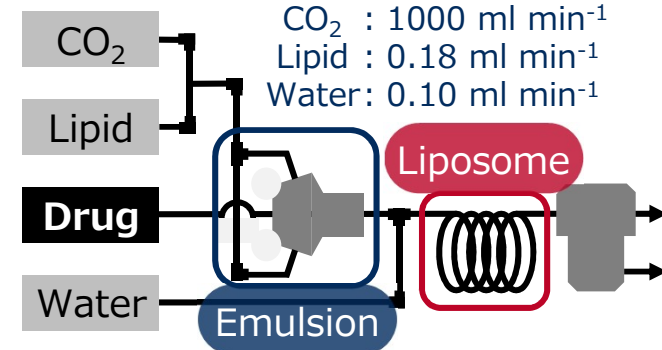
Conditions

Drug: 0.005 – 0.015 ml min⁻¹

CO₂ : 1000 ml min⁻¹

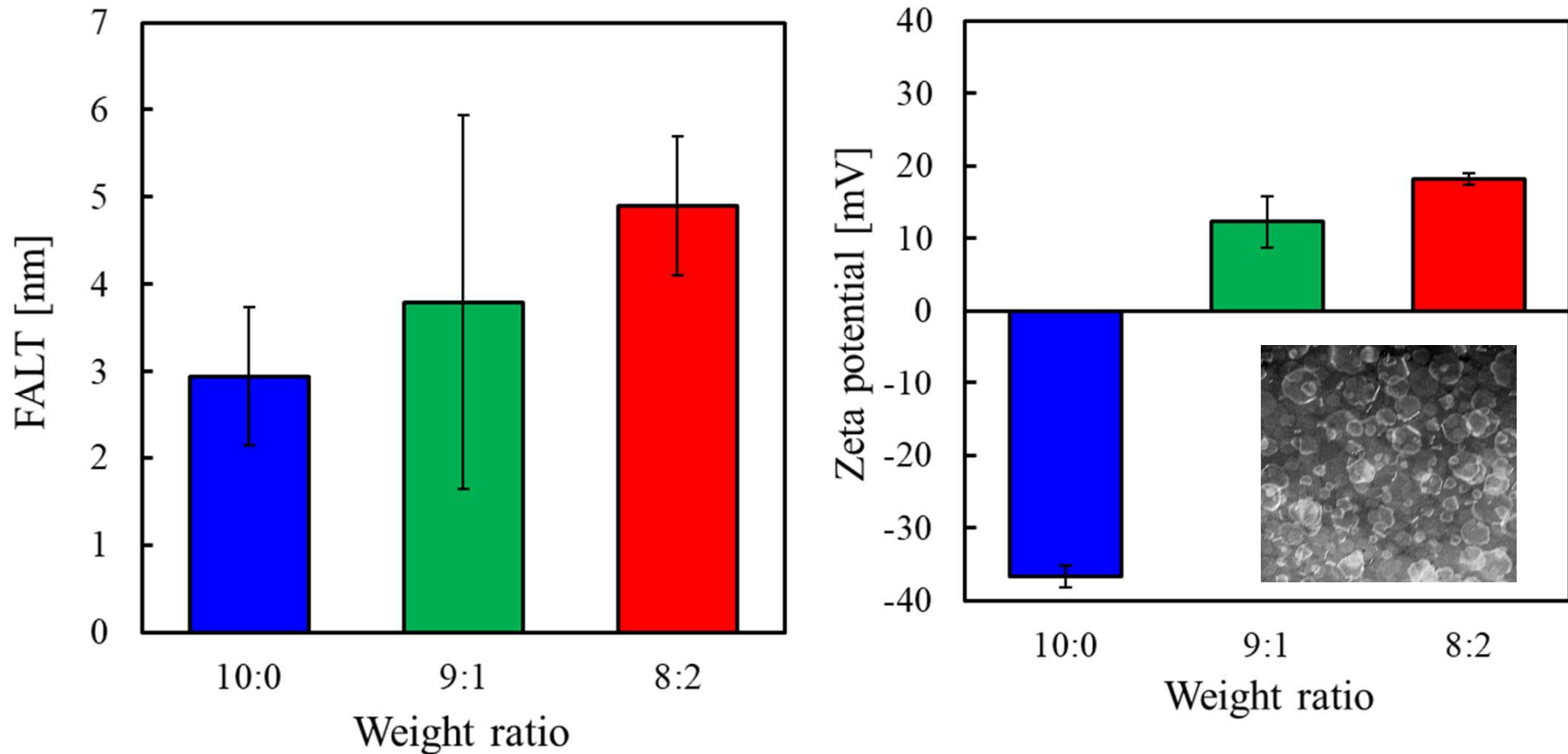
Lipid : 0.18 ml min⁻¹

Water: 0.10 ml min⁻¹





PEGylated Liposome by LipTube process



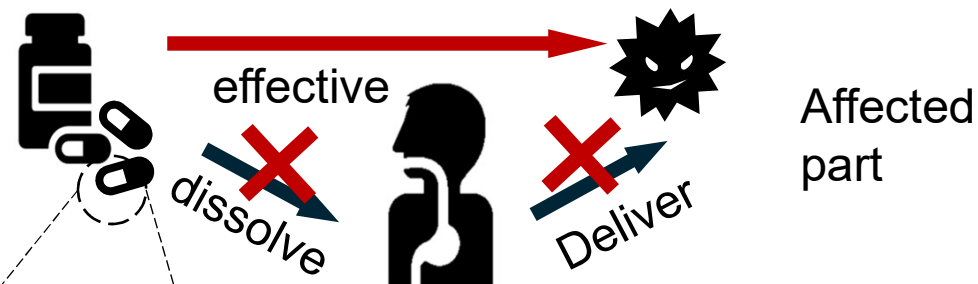
DSPC : DSPE-PEG

FALT : Fixed Aqueous Layer Thickness



Social Background

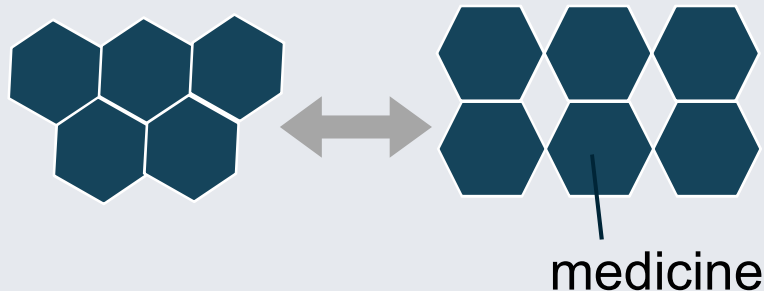
Low drug solubility
→ Effective to diseases
but stop development



Attempts to make drug soluble

Polymorphous

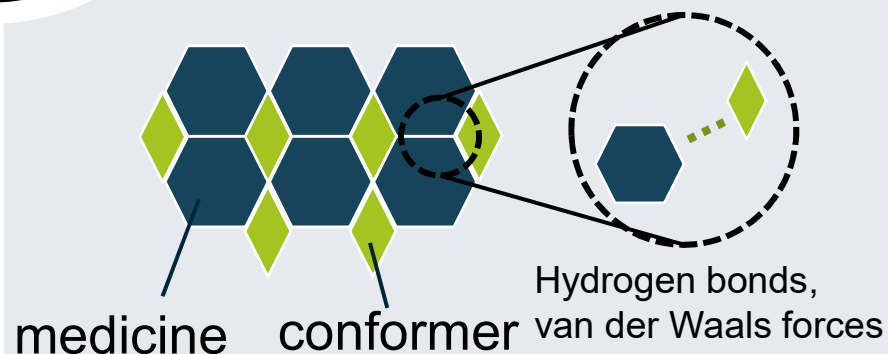
Same molecular,
but different crystal structure



Drug crystal

Cocystal

Multicomponent,
nonionic bond



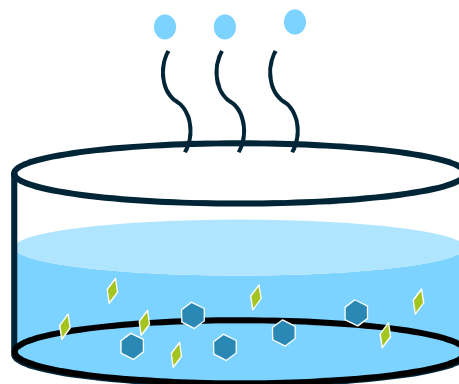
Processing can improve solubility, dissolution rate, bioavailability



	API	Method	Characteristics		
			Solvent	Process	
#1	Norfloxacin-Isonicotinamid-chloroform	Chloroform solution evaporation	×	×	2steps
#2	Nicotinamide-picric acid	Acetonitrile assisted grinding	△	×	2steps
#3	Ciprofloxacin-Resorcinol	Toluene slurry crystallization	×	×	2steps



#1 Dissolution to solvent



#2 Evaporation at RT

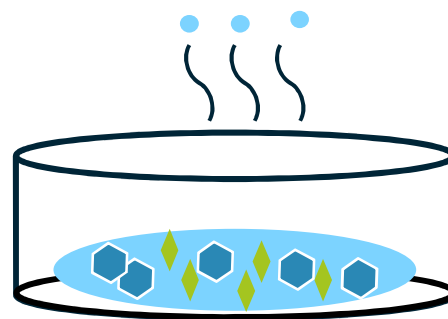




	API	Method	Characteristics		
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#1	Norfloxacin-Isonicotinamid-chloroform	Chloroform solution evaporation	×	×	2steps
#2	Nicotinamide-picric acid	Acetonitrile assisted grinding	△	×	2steps
#3	Ciprofloxacin-Resorcinol	Toluene slurry crystallization	×	×	2steps



#1 Grinding



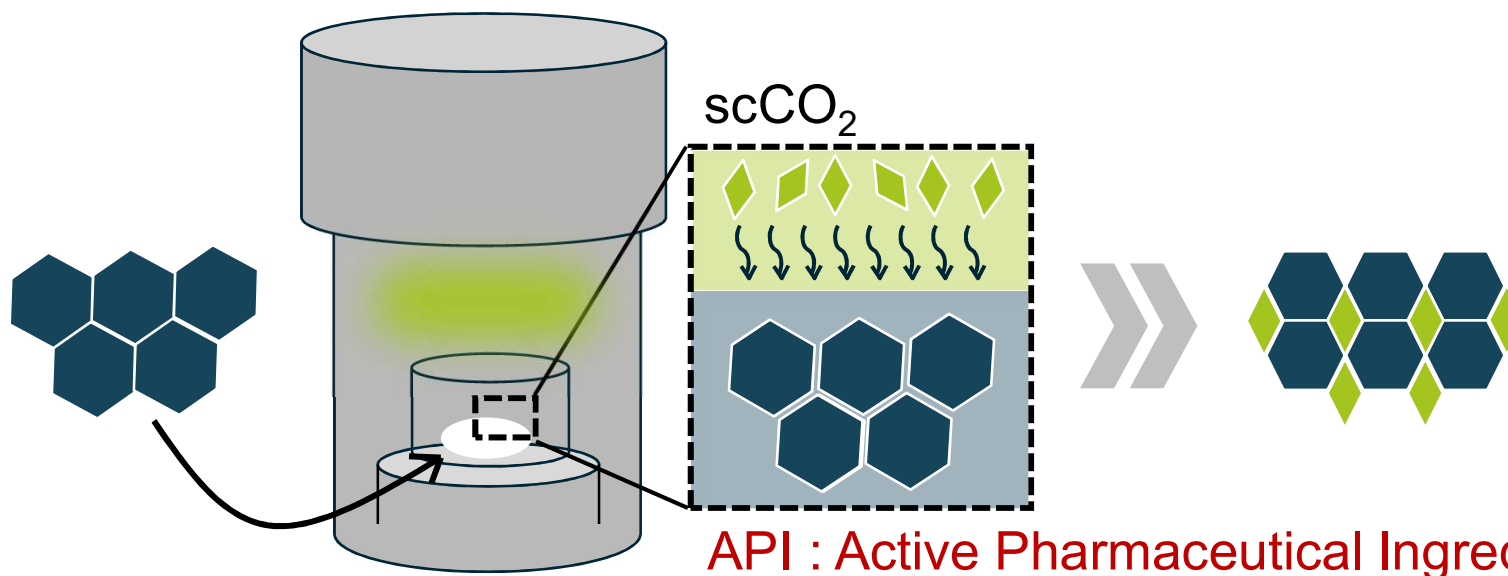
#2 Evaporation





CO₂ molecular crystal formation

Crystal phase transition driven by CO₂ uptake into crystal lattice in scCO₂



#1 Contact with scCO₂

→ diffusion into crystal structure

Advantage

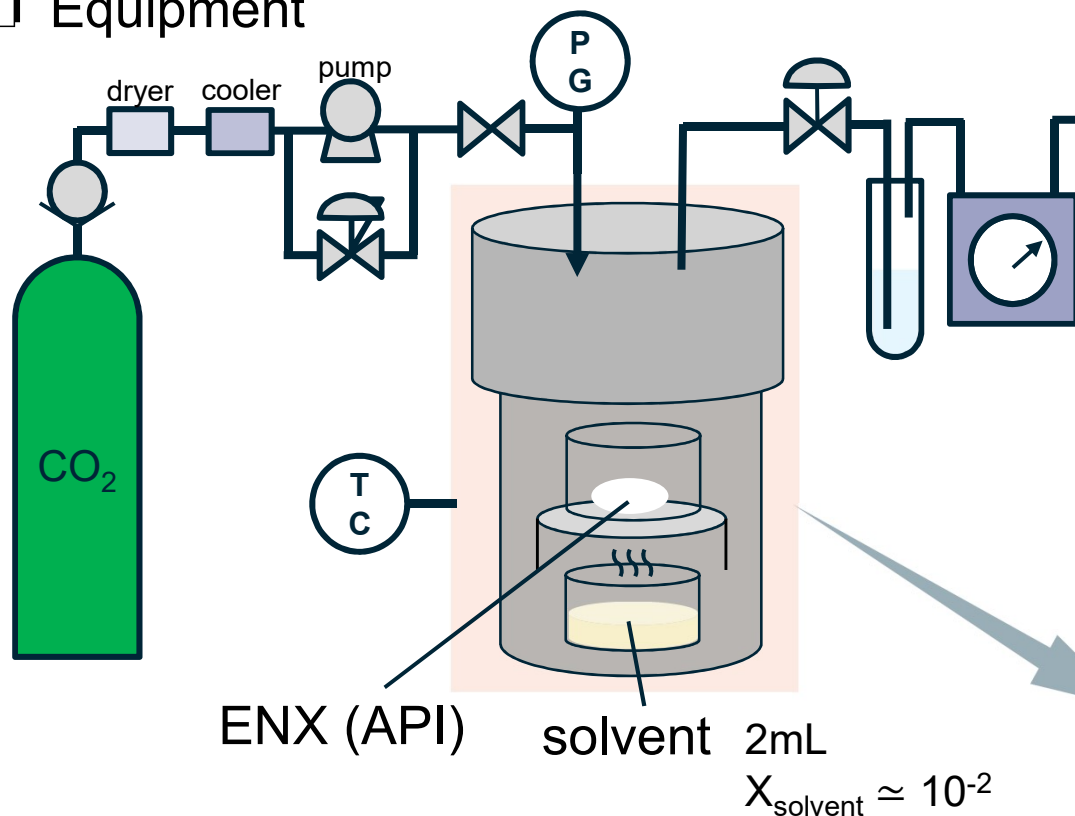
- ✓ **Increased solubility** ... ENX : 2.5 times
- ✓ **Safe** ... No solvent required
- ✓ **Easy** ... 1step process

Task

- ✓ **Unclear mechanism**

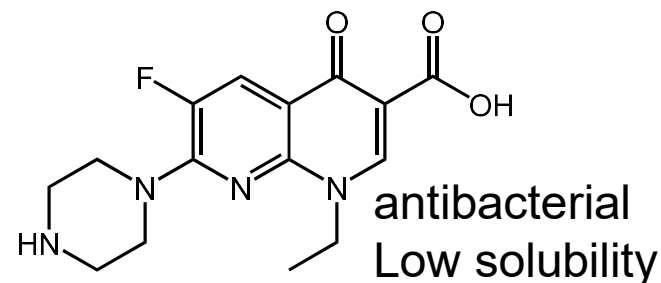


Equipment



Used API

Enoxacin (ENX)



Procedure



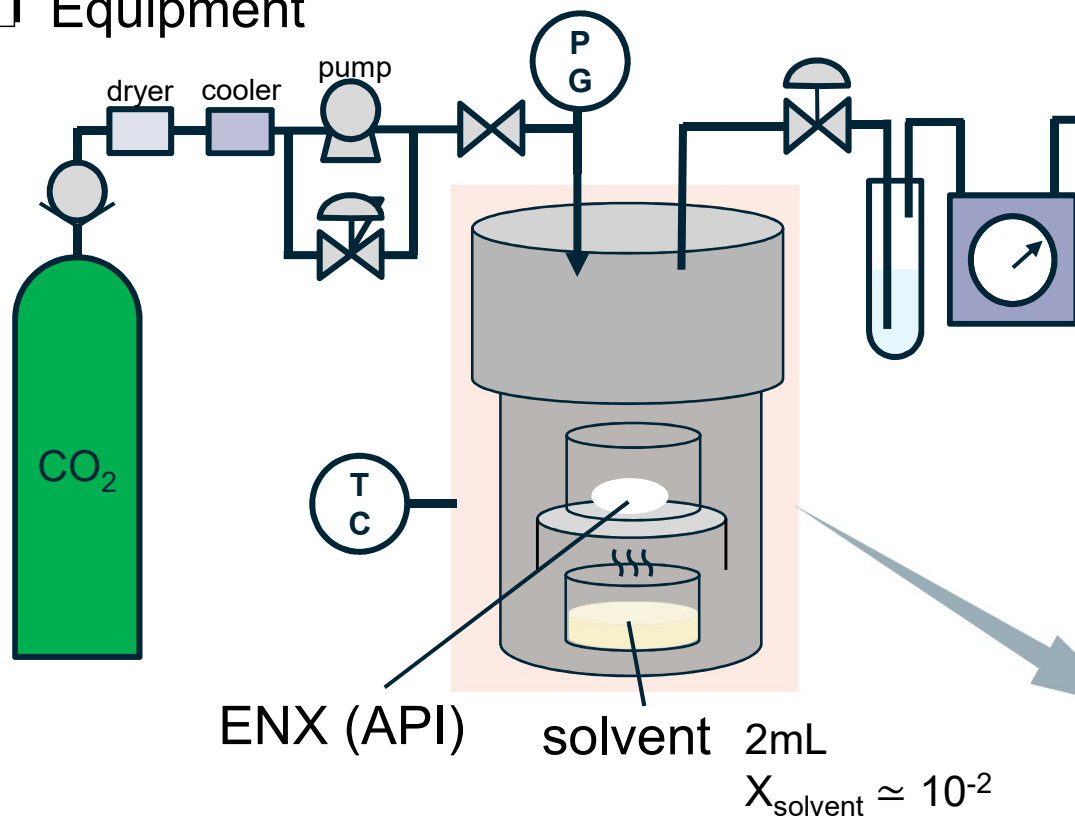
1. Put powder
2. Introduce CO₂
3. Wait for 2h
4. Take out

Experimental Condition

Temperature	40 °C	solvent	EtOH
Pressure	20 MPa		1-PrOH
ENX weight	60 mg		1-BtOH
Contact time	2h		Ethyl Acetate (EA)
Depressurize	0.1 MPa min ⁻¹		Acetone (AC)

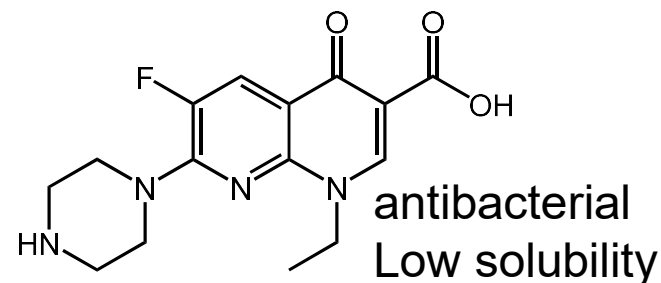


Equipment

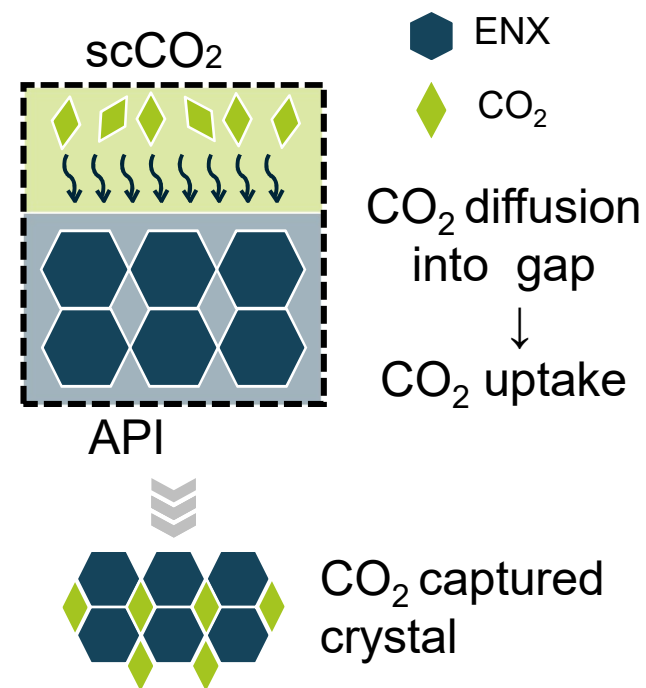


Used API

Enoxacin (ENX)



Image



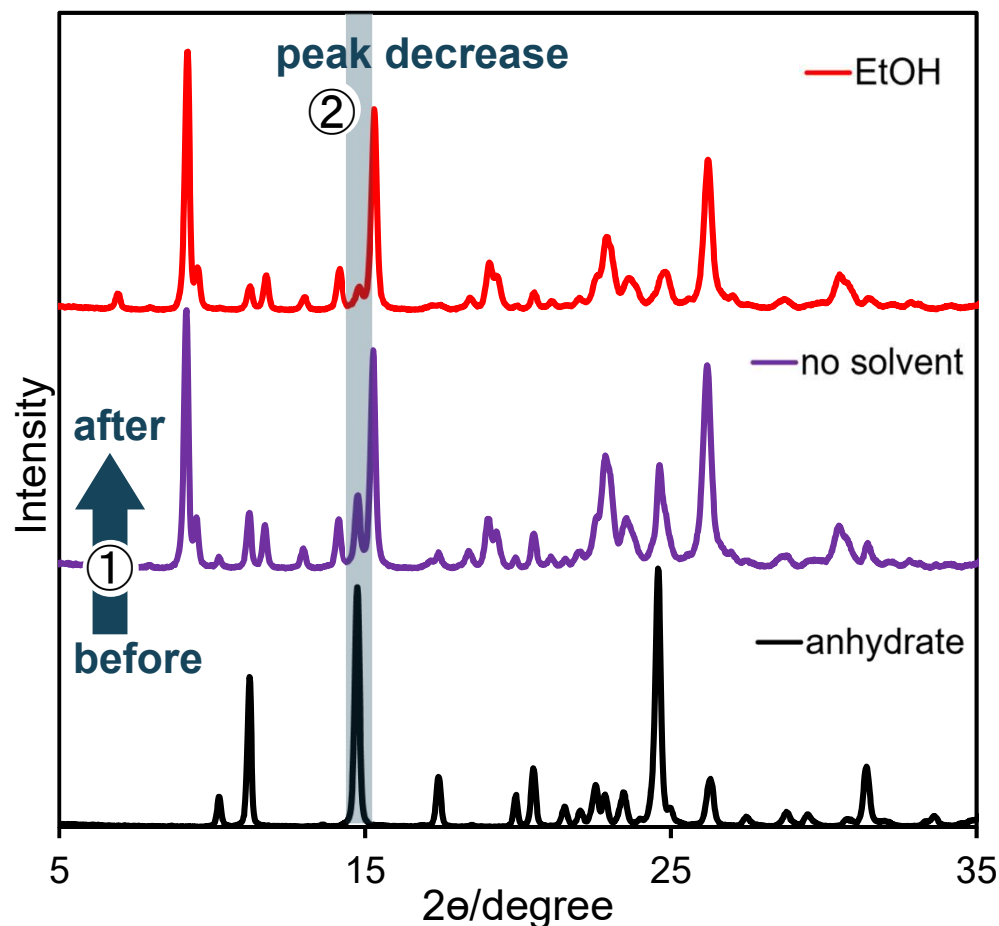
Experimental Condition

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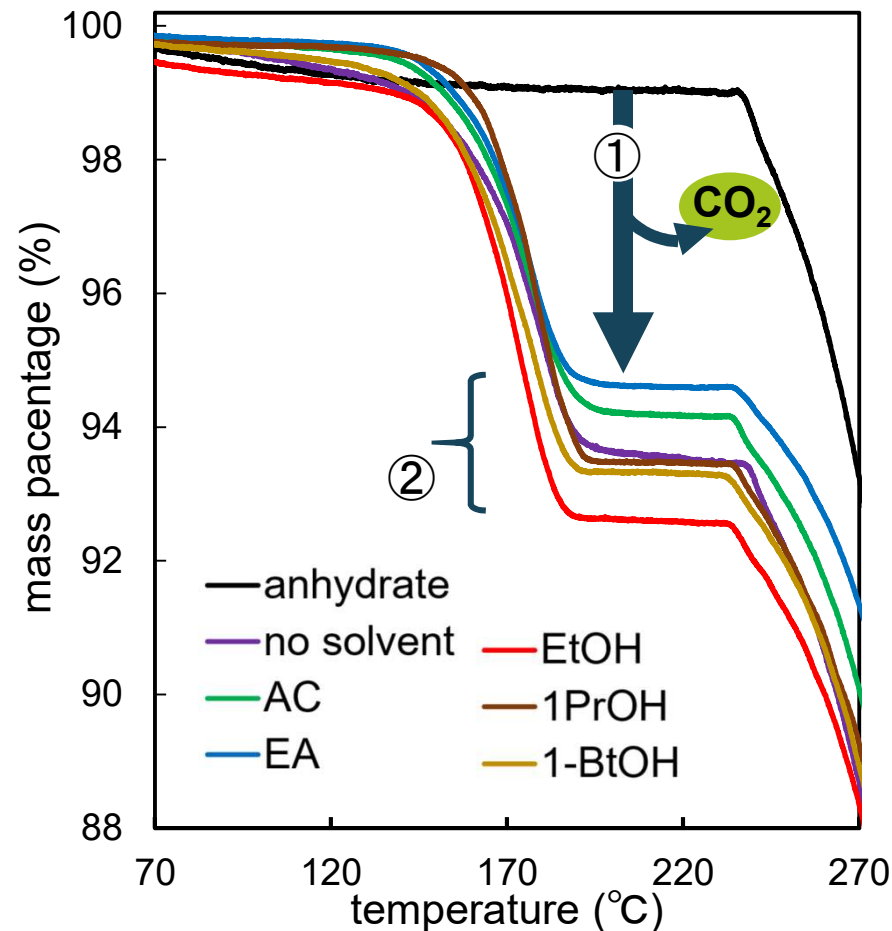


□ Powder X-Ray Diffraction (XRD)

□ Thermal Gravimetric Analysis (TGA)



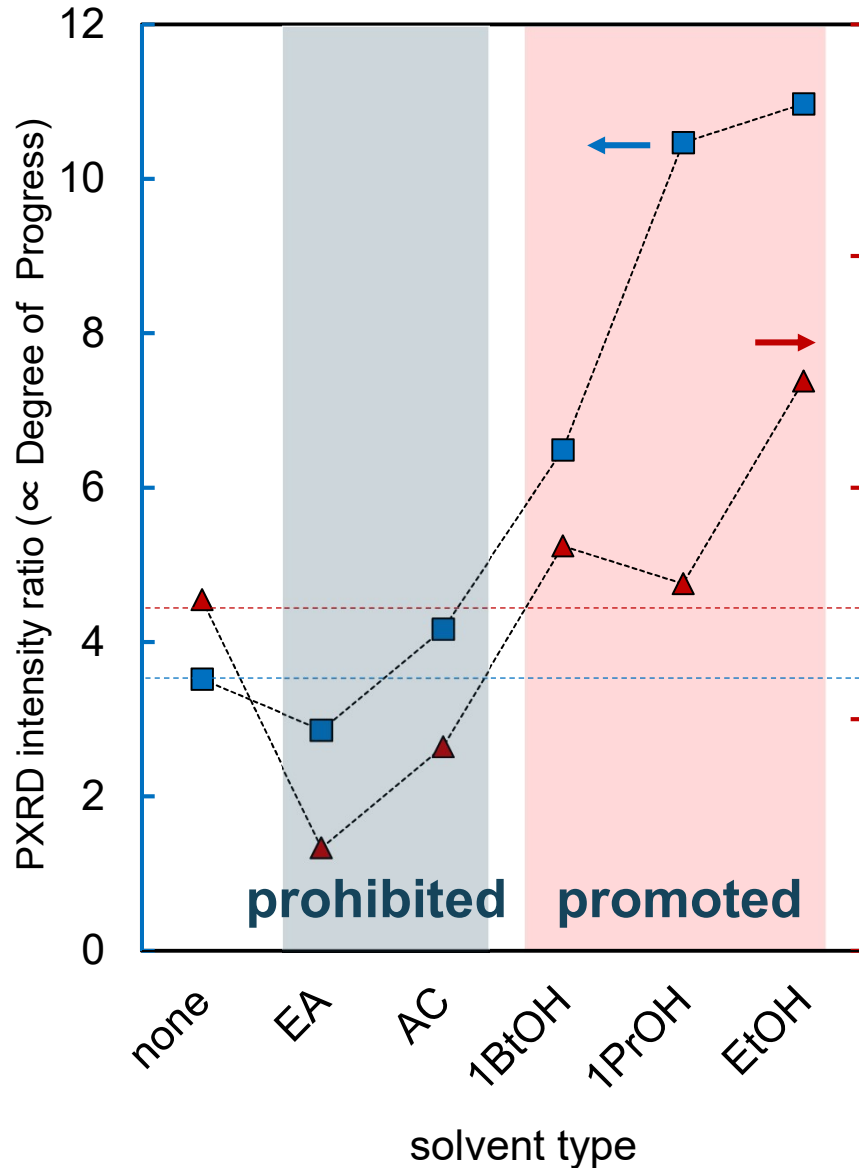
- ① crystal structure change
- ② solvent promotes phase transition
- ③ structure is independent of solvent type



- ① mass loss by heating
→ CO₂ in crystal lattice
- ② solvent type



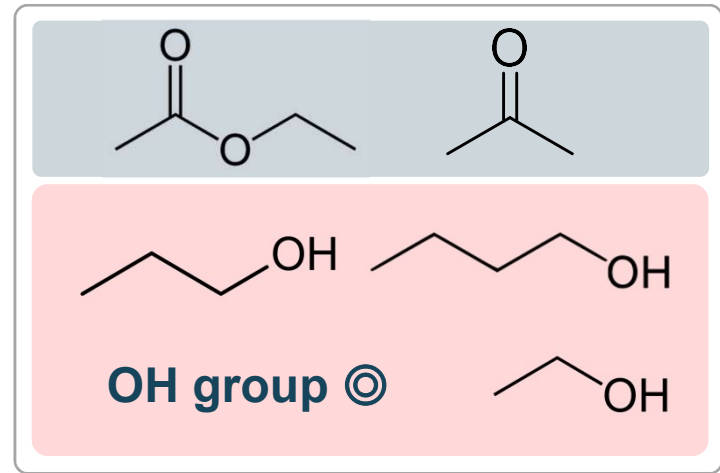
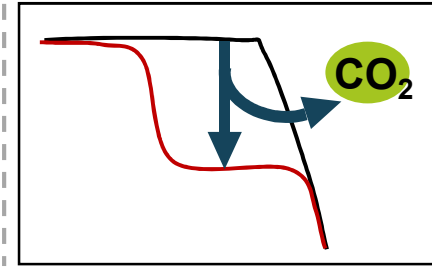
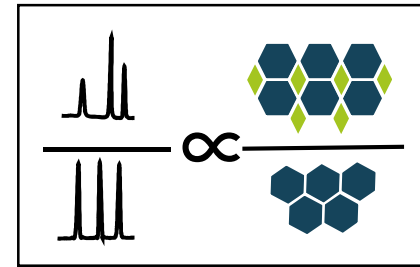
□ Degree of progress for each solvent



Definition

■ Conversion rate
 $\frac{\text{peak intensity A}}{\text{peak intensity B}}$

▲ Content of CO₂
 $\frac{\text{weight at 220}^\circ\text{C}}{\text{maximum weight}}$

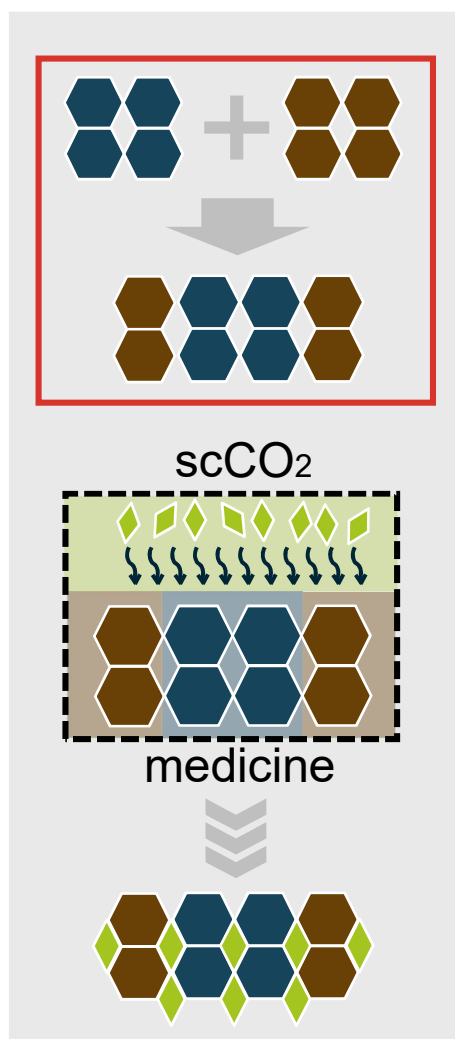


EA < AC < 1-BtOH < 1-PrOH < EtOH

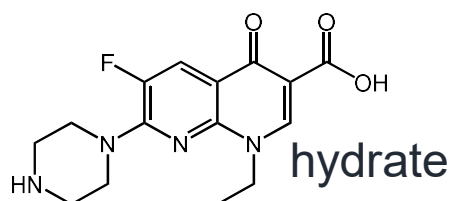
* S. Akiyama et al., SCEJ 51st fall meeting (2020)



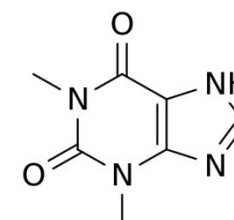
CO₂ molecular crystal with various drugs



■ Enoxacin(ENX)



■ Theophylline(THP)



■ ENX

■ THP

● Ethanol

● Methanol

Method

grinding



Liquid assist grinding



ENX hydrate, THP

0.3 mmol

1 mmol

Liquid

-

10 mL

Reaction time

1 h

2 h

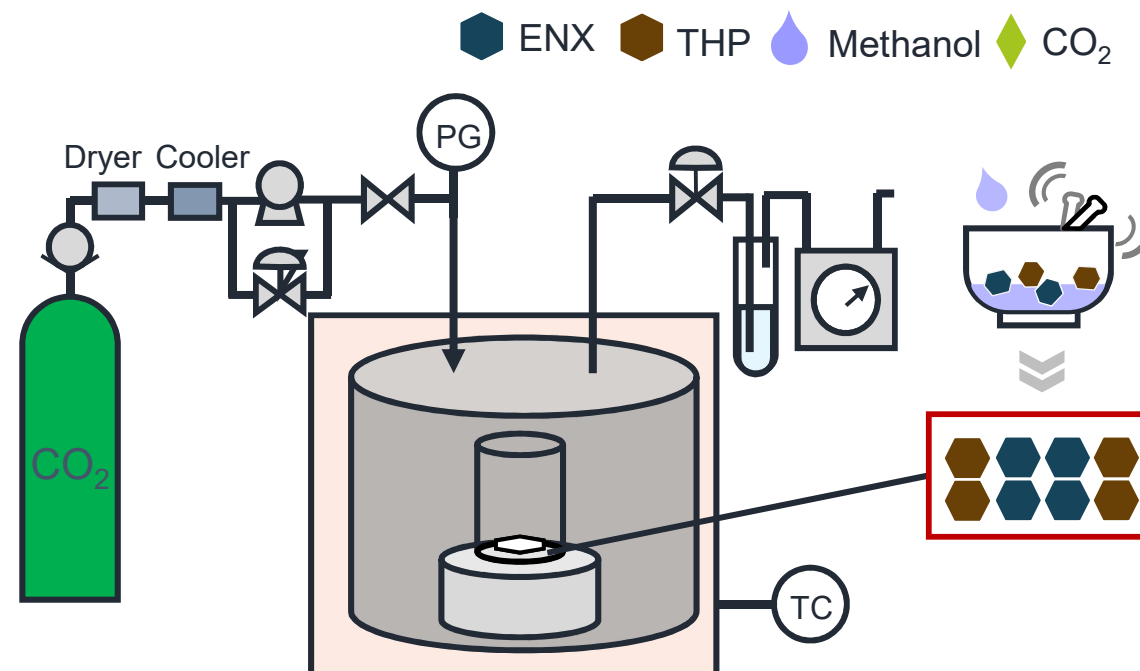
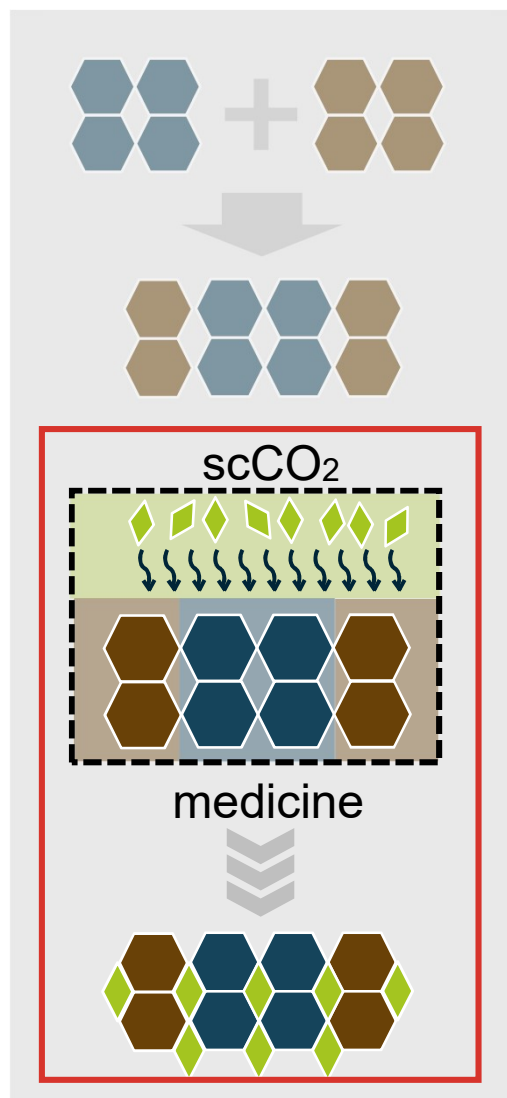
Drying time

-

overnight



■ Setup and condition of CO₂-driven crystal



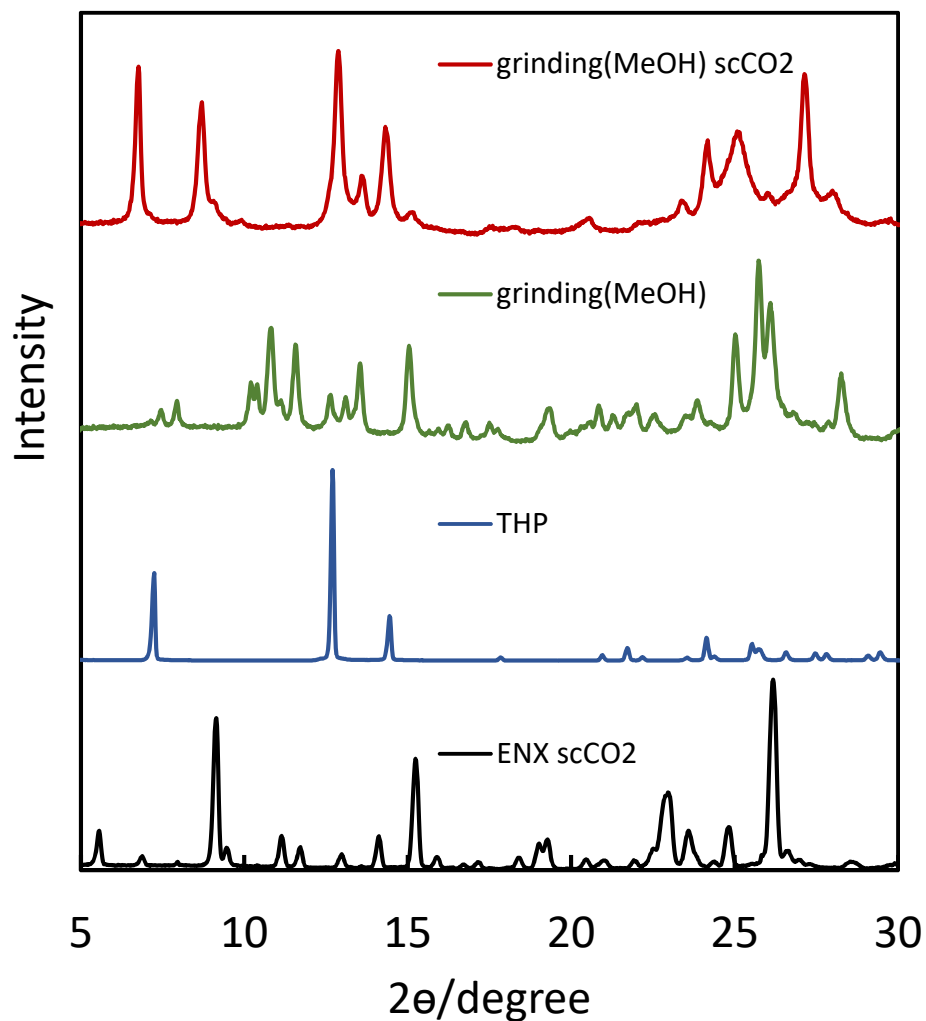
➤ Conditions

Grinding time	2h	Stirring	200 rpm
Temperature	50 °C	Reaction time	2 h
Pressure	20 MPa	flow rate	2 mL/min

* M. Tanikoshi et al., SCEJ 52nd fall meeting (2021)

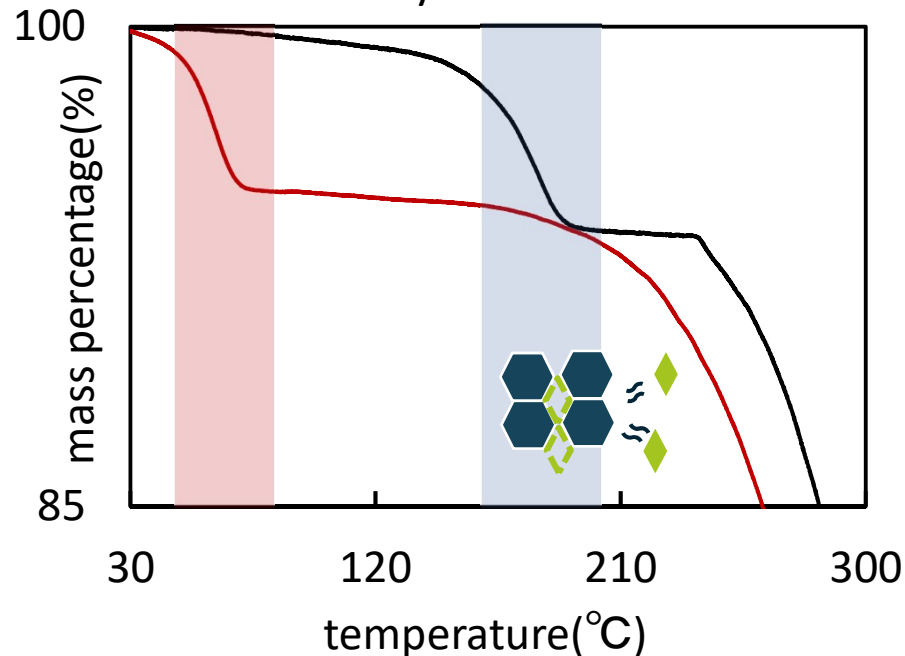


➤ PXRD



➤ TGA

— ENX.hydrate.scCO₂
— ENXhydrate.TH₂P.MeOH.scCO₂



crystal structure changed
CO₂ used for crystal
structure change

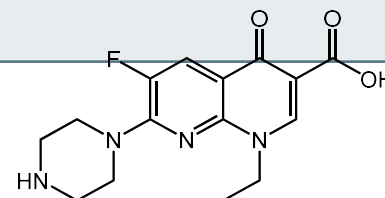


CO₂ molecular crystal of Enoxacin

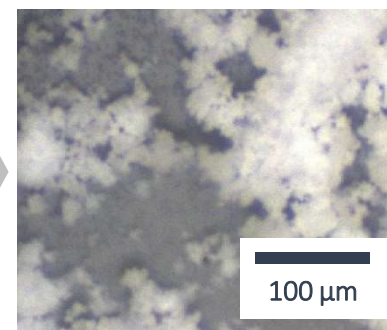
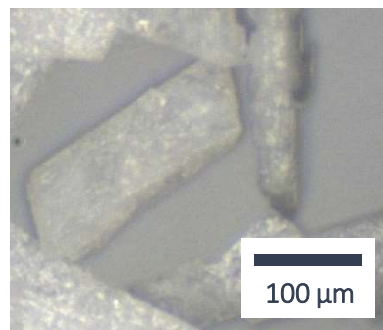
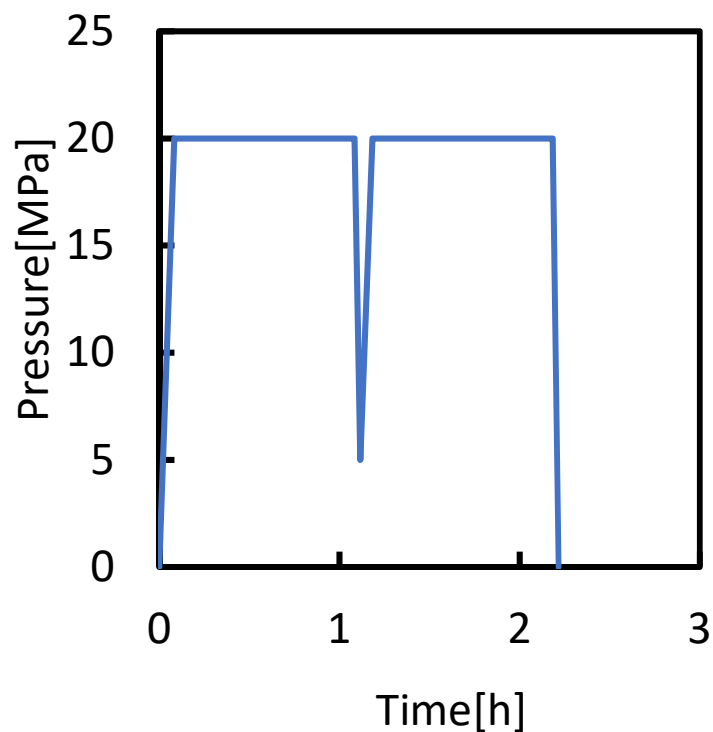
Atomization



Enoxacin(ENX)



Pressure swing



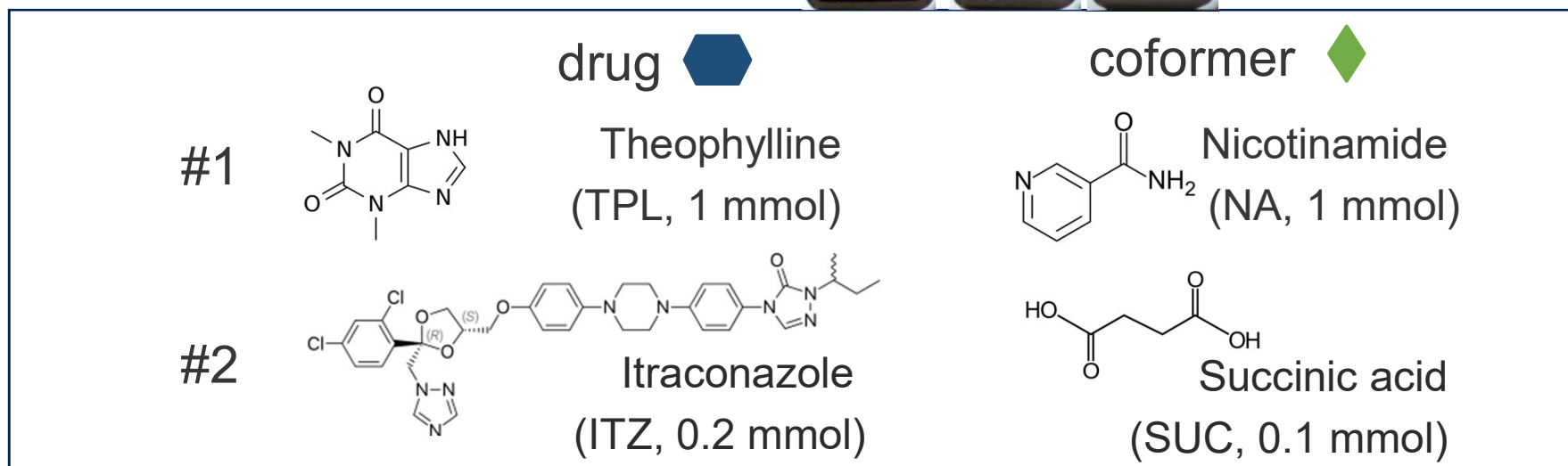
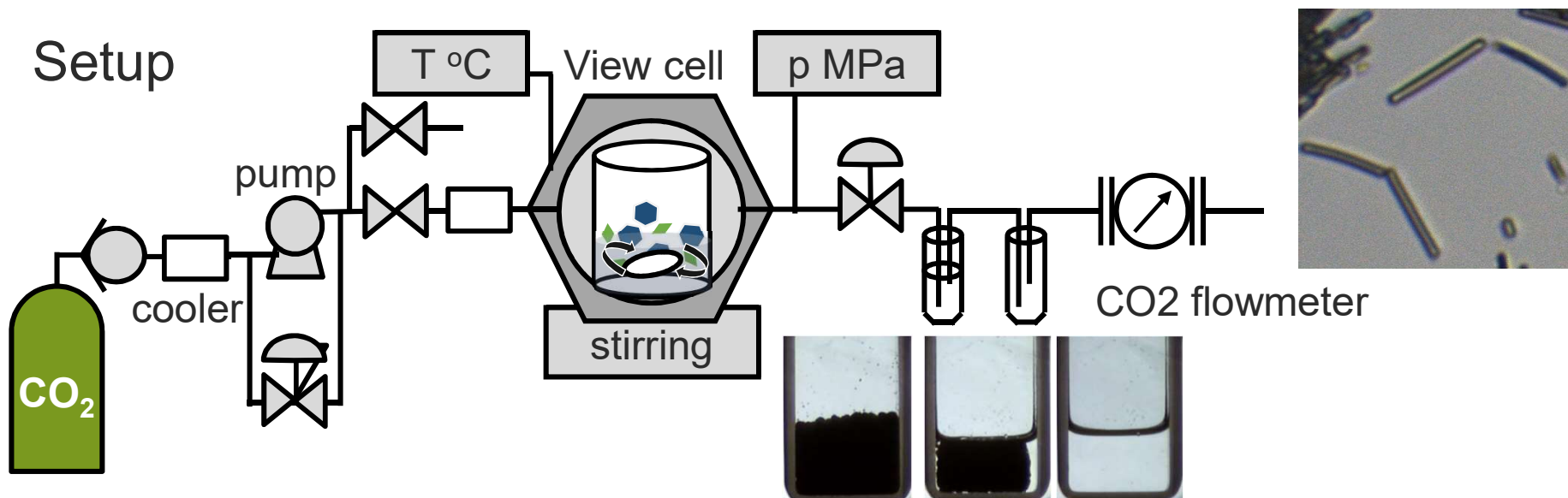
Advantage

- ✓ **Safe** ... No solvent required
- ✓ **Easy** ... 1step process



Lipid-mediated cocrystal formation

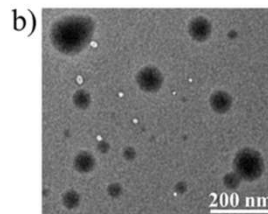
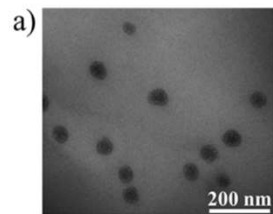
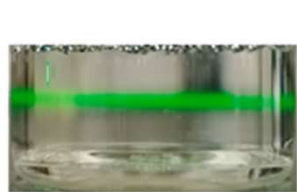
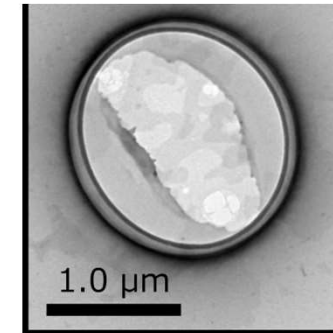
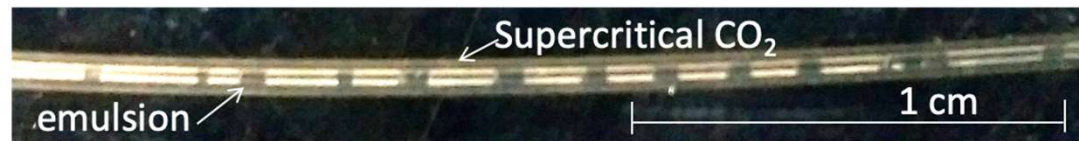
Supercritical CO₂



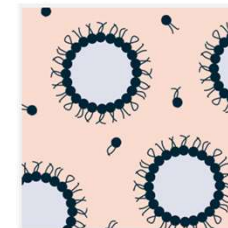


Supercritical CO₂ applied for

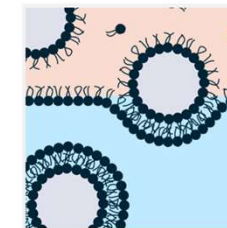
Nanosuspension and Liposome



Dissolution of lipid



Emulsion formation



Flow production of liposome

Crystal engineering

